

# Modeling Complex Phenotypes

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# Two stories

(1) Modeling epistatic effects.

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- (2) Digitizing shape phenotypes.

# Modeling epistasis



# Epistasis

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- (1) *Compositional epistasis*: Bateson observed some mutations seemed to be stopping or standing on the effects of other mutations. Such mutations were said to be epistatic (the ones being blocked, hypostatic).
- (2) *Statistical epistasis*: Fisher coined the term epistacy to mean any statistical deviation from the additive combination of two loci in their effects on a phenotype.

# Two important problems

Mapping traits: associating genetic loci to phenotypes. Relevant to genetic architecture of complex (disease) phenotypes.

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Genomic selection: predicting phenotypic variation based on genotypic/genetic variation—relevant to animal and crop breeding programs.

# The data

Trait:  $\mathbf{Y}$  is  $n \times 1$  with each  $Y_i \in \mathbb{R}$  (crop yield):

$$\mathbf{Y} = \begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{bmatrix}$$

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Genotype:  $\mathbf{X}$  is  $n \times p$  with each  $X_{ij} \in \{0, 1, 2\}$  (# minor alleles):

$$\mathbf{X} = \begin{matrix} & \text{loci} \\ \begin{bmatrix} X_{11} & X_{12} & X_{13} & \dots & X_{1p} \\ X_{21} & X_{22} & X_{23} & \dots & X_{2p} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ X_{n1} & X_{n2} & X_{n3} & \dots & X_{np} \end{bmatrix} & \text{individuals} \end{matrix}$$

# Trait mapping–linear models

Linear models used for GWAS and eQTL mapping

$$\mathbf{Y} = \mathbf{X}\boldsymbol{\beta} + \boldsymbol{\varepsilon}, \quad \boldsymbol{\varepsilon} \sim \mathbf{N}(\mathbf{0}, \boldsymbol{\Sigma}_n),$$

magnitude of  $|\beta_j|$  is evidence for association of locus  $j$ .

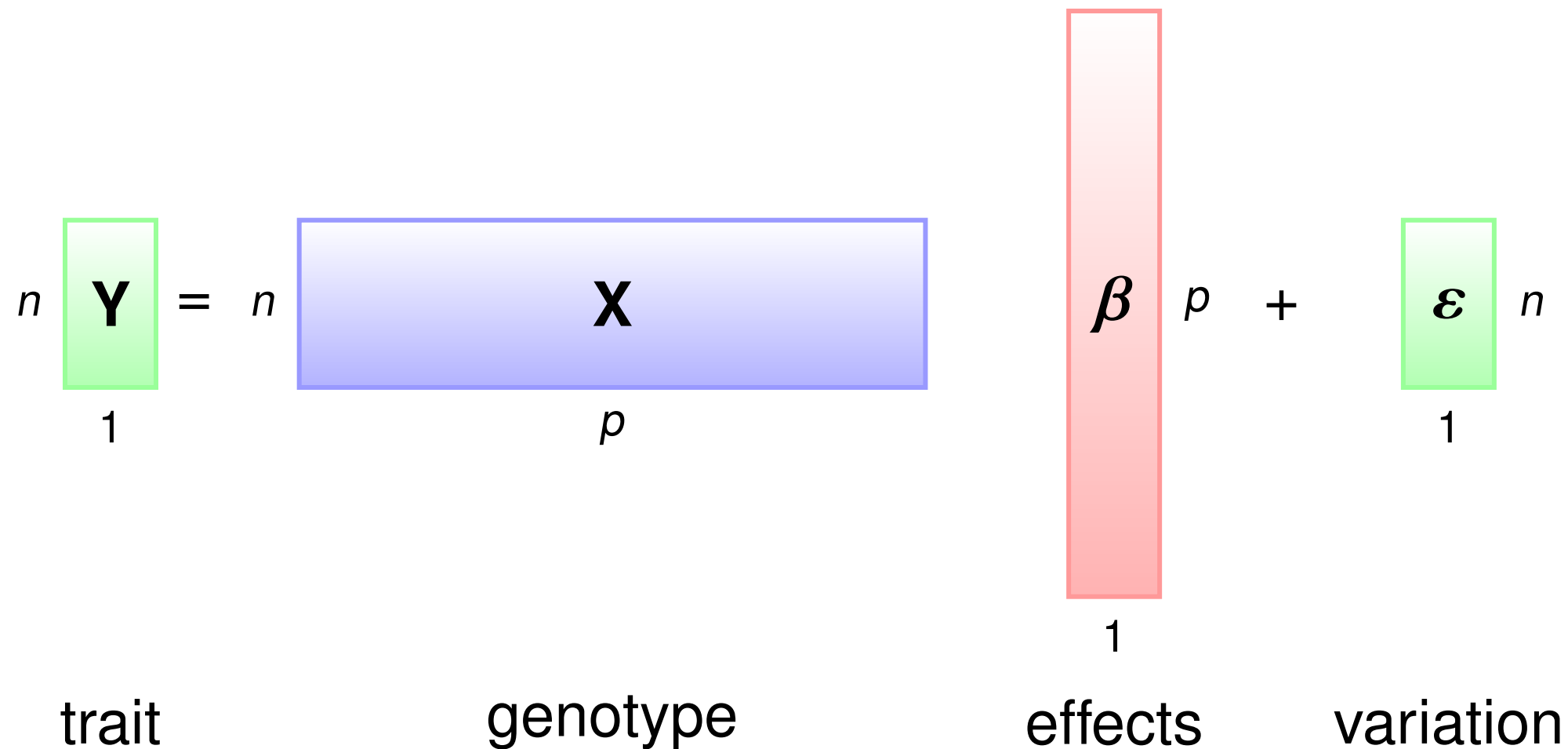


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# Genomic selection–nonlinear models

Nonlinear functions perform better for genomic selection

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Kernel or Gaussian process models: state-of-the-art nonlinear regression functions of the form

$$f(\mathbf{x}) = \sum_{i=1}^n \alpha_i k(\mathbf{x}, \mathbf{x}_i),$$

where  $\mathbf{x}_i$  are the genotypes and the kernel function  $k(\mathbf{u}, \mathbf{v})$  is a similarity measure, for example  $k(\mathbf{u}, \mathbf{v}) = \exp(-\kappa^2 \|\mathbf{u} - \mathbf{v}\|^2)$ .

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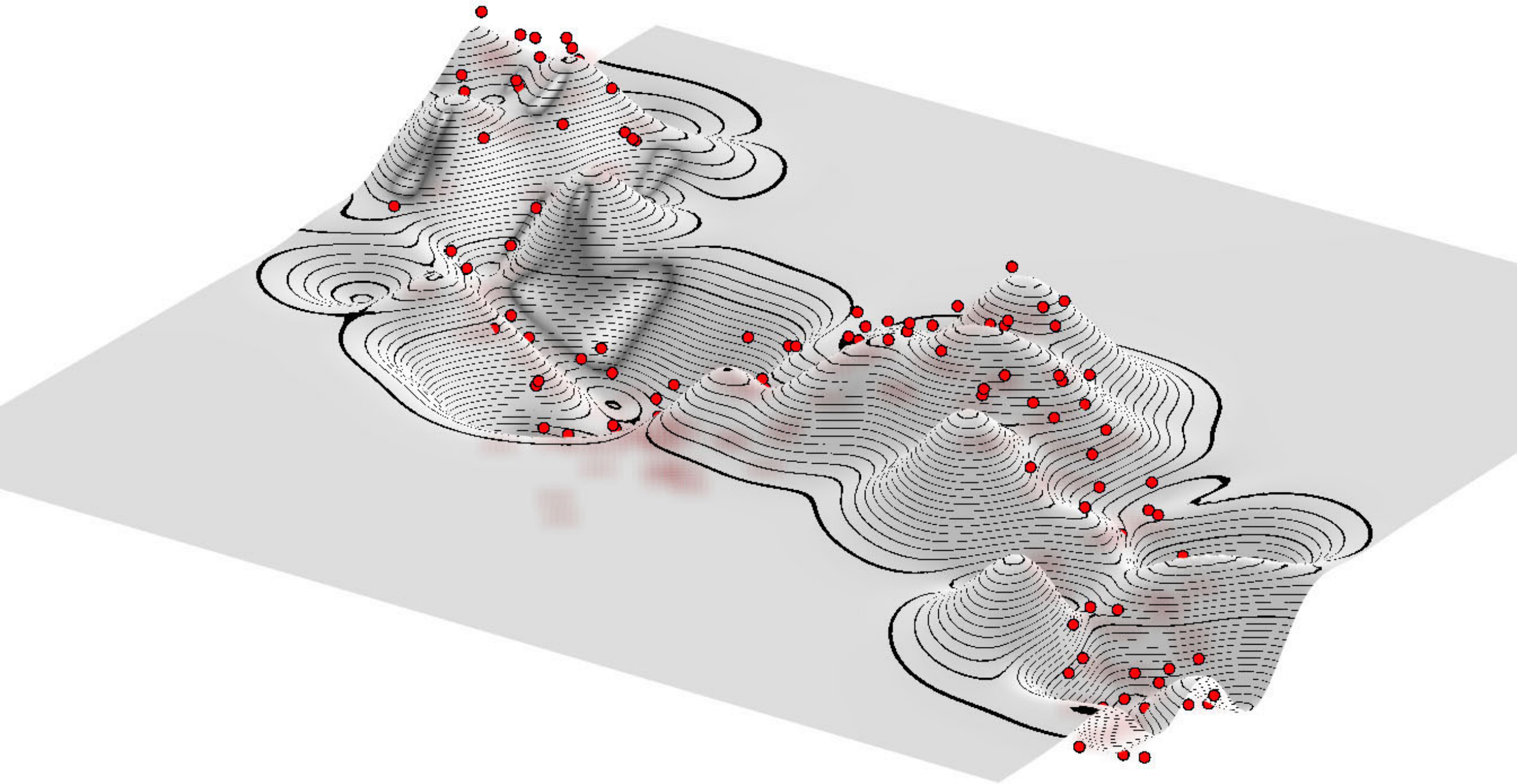
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Effect of a locus ( $\beta$ ) ?

# Kernel models



# Linear vs. nonlinear

Statistical conventional wisdom:

- (1) In high-dimensional regression smooth nonlinear functions are more predictive than linear functions.
- (2) Variable selection is much easier for linear regression.

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- (1) In high-dimensional regression smooth nonlinear functions are more predictive than linear functions.
- (2) Variable selection is much easier for linear regression.

Genomic conventional wisdom:

- (1) Use nonlinear models for genomic selection.
- (2) Use linear models for QTL mapping.

# Our objective

Develop a single model for trait mapping and genomic selection which

- (1) Predicts as well as state-of-the-art in genomic selection.
- (2) Scalable trait mapping or variable selection.



# Two observations

High-dimensional  $p \gg n$  setting

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High-dimensional  $p \gg n$  setting

- (1) Smooth nonlinear functions predict more accurately than linear functions and sharply varying nonlinear functions.
- (2) A smooth nonlinear function can be reasonably approximated by a linear function.

# Reproducing kernel Hilbert space

Key idea: a penalized loss function for estimation

$$\hat{f} = \arg \min_{f \in \mathcal{H}} \left[ \frac{1}{n} \sum_{i=1}^n (f(\mathbf{x}_i) - y_i)^2 + \lambda \|f\|_K^2 \right], \quad \lambda > 0.$$

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Representer theorem

$$\hat{f}(\mathbf{x}) = \sum_{i=1}^n \alpha_i k(\mathbf{x}, \mathbf{x}_i).$$

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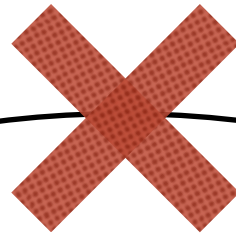
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$$\mathbf{K} = \begin{bmatrix} k(\mathbf{x}_1, \mathbf{x}_1) & k(\mathbf{x}_1, \mathbf{x}_2) & \dots & k(\mathbf{x}_1, \mathbf{x}_n) \\ k(\mathbf{x}_2, \mathbf{x}_1) & k(\mathbf{x}_2, \mathbf{x}_2) & \dots & k(\mathbf{x}_2, \mathbf{x}_n) \\ \vdots & \vdots & \ddots & \vdots \\ k(\mathbf{x}_n, \mathbf{x}_1) & k(\mathbf{x}_n, \mathbf{x}_2) & \dots & k(\mathbf{x}_n, \mathbf{x}_n) \end{bmatrix}$$

# Kernel methods



Original  $p$ -  
dimensional space

$$\mathbf{y} = \mathbf{X}\boldsymbol{\beta} + \boldsymbol{\varepsilon}$$

*Downside: Classic idea of  
variable selection is lost*

$n$ -dimensional  
kernel space

$$\mathbf{y} = \mathbf{K}\boldsymbol{\alpha} + \boldsymbol{\varepsilon}$$

# Proposal

A Bayesian kernel regression model that provides an analogue for the effect size of each explanatory variable in the original high dimensional space.



# Subspace of RKHS

The subspace of the RKHS realized by the representer theorem

$$\mathcal{H}_{\mathbf{x}} = \left\{ f \mid f(\mathbf{x}) = \sum_{j=1}^n \alpha_j k(\mathbf{x}_j, \mathbf{x}), \quad \{\alpha_j\} \in \mathbb{R}^n, \quad \|f\|_K < \infty \right\}.$$

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An alternate parameterization

$$\mathcal{H}_{\mathbf{x}} = \left\{ f \mid f(\mathbf{x}) = \boldsymbol{\Psi}_{\mathbf{x}}^T \mathbf{c}, \quad \|f\|_K < \infty \right\}.$$

with eigenvalues and eigenfunctions

$$\lambda_j \psi_j(\mathbf{x}) = \int k(\mathbf{u}, \mathbf{x}) \psi_j(\mathbf{u}) d\mathbf{u},$$

$$\boldsymbol{\Psi}_{\mathbf{x},1} = \{ \sqrt{\lambda_j} \psi_j(\mathbf{x}_1) \}_{j=1}^{\infty} \text{ and } \boldsymbol{\Psi}_{\mathbf{x}} = \left[ \boldsymbol{\Psi}_{\mathbf{x},1} \cdots \boldsymbol{\Psi}_{\mathbf{x},n} \right]^T \text{ and } \mathbf{c} = \{c_j\}_{j=1}^{\infty}.$$

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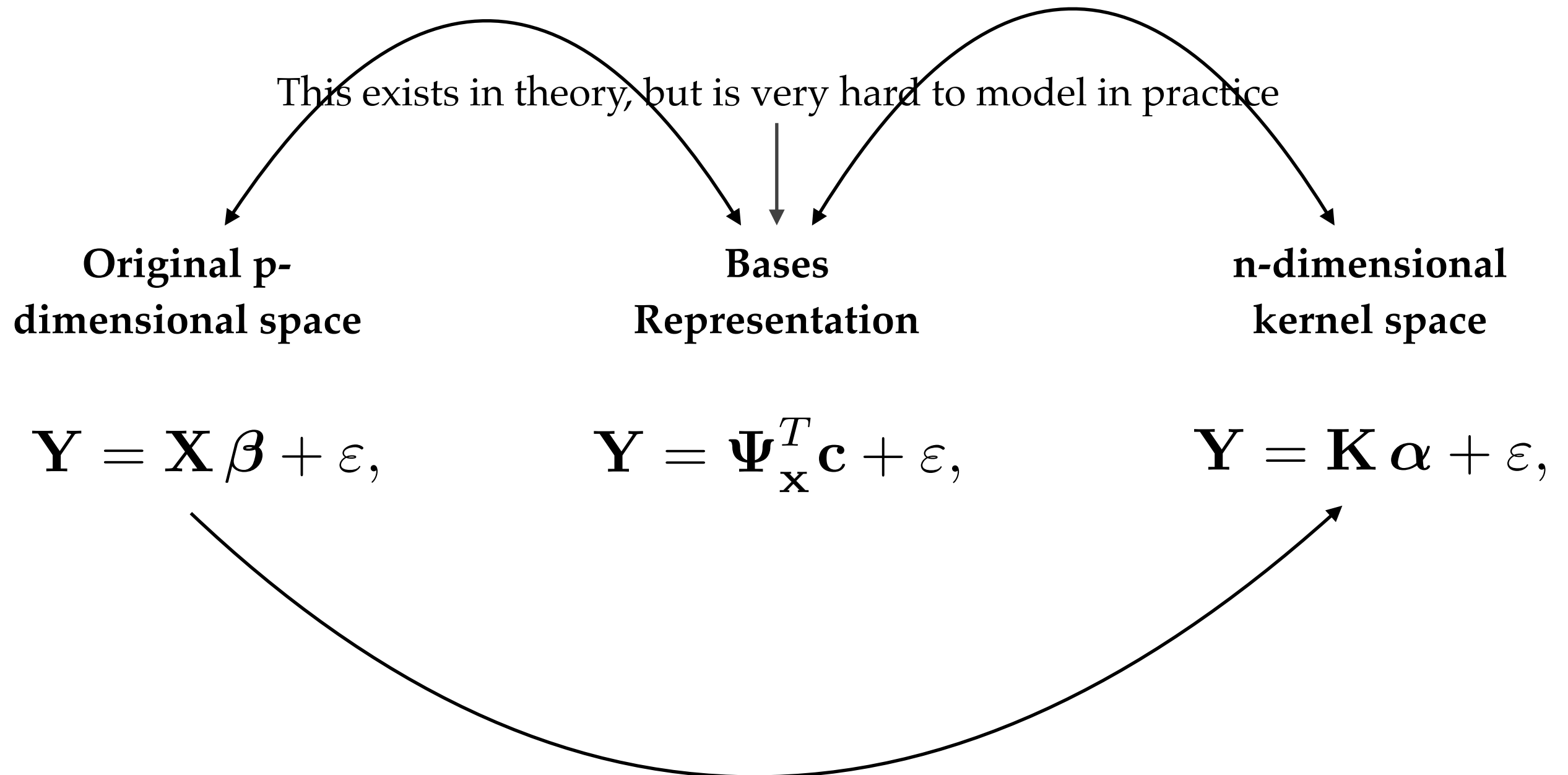
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where  $\boldsymbol{\Psi}_{\mathbf{x}} = \{ \sqrt{\lambda_j} \psi_j(\mathbf{x}) \}_{j=1}^{\infty}$  and  $\mathbf{c} = \{c_j\}_{j=1}^{\infty}$

$$\mathbf{K} = \boldsymbol{\Psi}_{\mathbf{x}}^T \boldsymbol{\Psi}_{\mathbf{x}} \implies \mathbf{c} = \boldsymbol{\Psi}_{\mathbf{x}} \alpha.$$

# Maps between spaces



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$$\begin{aligned}\mathbf{X}\beta &\approx \boldsymbol{\Psi}_x^T \mathbf{c} \\ \text{"}\beta &= \mathbf{X}^{-1} \boldsymbol{\Psi}_x^T \mathbf{c}.\text{"}\end{aligned}$$

# Random Fourier bases

We can specify useful maps between the feature space and predictor space using a randomized feature maps.



# Bochner's theorem

Assume the kernel is shift-invariant and integrates to one:

$$k(\mathbf{u}, \mathbf{v}) = k(\mathbf{u} - \mathbf{v}), \quad \int k(\mathbf{z}) d\mathbf{z} = 1, \quad \mathbf{z} = \mathbf{u} - \mathbf{v}.$$

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Bochner's theorem

$$k(\mathbf{x}_i - \mathbf{x}_j) = \int_{\mathbb{R}^p} f(\omega) \exp\{\iota \omega^T (\mathbf{x}_i - \mathbf{x}_j)\} d\omega = \mathbb{E}_{\omega}[\eta_{\omega}(\mathbf{x}_i) \eta_{\omega}(\mathbf{x}_j)^*],$$

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The Fourier transform of the kernel function is a probability density

$$f(\omega) = \int_{\mathcal{X}} k(\mathbf{x}) e^{-\iota 2\pi \omega^T \mathbf{x}} d\mathbf{x}.$$

# Approximate kernel

Given a sample  $(\omega_1, \dots, \omega_p) \stackrel{iid}{\sim} f(\omega)$  consider

$$k(\mathbf{u}, \mathbf{v}) = \mathbb{E}_{\omega}[\eta_{\omega}(\mathbf{u}) \eta_{\omega}(\mathbf{v})^*] \approx \frac{1}{p} \sum_{j=1}^p [\eta_{\omega_j}(\mathbf{u}) \eta_{\omega_j}(\mathbf{v})^*].$$

# Approximate kernel

A sampling procedure

$$\omega_\ell \stackrel{iid}{\sim} f(\omega), \quad \mathbf{b}_\ell \stackrel{iid}{\sim} U[0, 2\pi], \quad \ell = 1, \dots, p$$

$$\mathbf{\Omega} = [\omega_1, \dots, \omega_p] \in \mathbb{R}^{p \times p}, \quad \mathbf{b} = [\mathbf{b}_1, \dots, \mathbf{b}_p]^T \in \mathbb{R}^d,$$

$$\mathbf{z}(\mathbf{x}_i) = \sqrt{\frac{2}{p}} \cos(\mathbf{x}_i^T \mathbf{\Omega} + \mathbf{b}), \quad \tilde{k}(\mathbf{x}_i, \mathbf{x}_j) = \mathbf{z}(\mathbf{x}_i)^T \mathbf{z}(\mathbf{x}_j)$$

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Analog of a design matrix  $\mathbf{Z} = [\mathbf{z}(\mathbf{x}_1), \dots, \mathbf{z}(\mathbf{x}_n)]$  and approximate kernel matrix  $\tilde{\mathbf{K}} = \mathbf{Z}^T \mathbf{Z}$ , and coefficients  $\mathbf{c}$  where

$$\sum_{j=1}^n \alpha_j \tilde{k}(\mathbf{x}_i, \mathbf{x}_j) = \mathbf{z}(\mathbf{x}_i)^T \mathbf{c}, \quad \forall i = 1, \dots, n.$$

# Effect size for a smooth nonlinear functions

The nonlinear regression is

$$f(\mathbf{x}) = \sum_{i=1}^n \alpha_i \tilde{k}(\mathbf{x}, \mathbf{x}_i), \quad f(\mathbf{x}) = \mathbf{z}(\mathbf{x})^T \mathbf{c}$$

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Project the nonlinear function onto the data

$$\begin{aligned} \mathbf{X}\boldsymbol{\beta} &\approx \mathbf{Z}^T \mathbf{c} \\ \boldsymbol{\beta} &= \mathbf{X}^{-1} \mathbf{Z}^T \mathbf{c}. \end{aligned}$$

# Maps between spaces

**Original p-  
dimensional space**

$$\mathbf{Y} = \mathbf{X} \boldsymbol{\beta} + \varepsilon,$$

**Basis  
Representation**

$$\mathbf{Y} = \boldsymbol{\Psi}_{\mathbf{x}}^T \mathbf{c} + \varepsilon, \longrightarrow$$

**n-dimensional  
kernel space**

$$\mathbf{Y} = \mathbf{K} \boldsymbol{\alpha} + \varepsilon,$$

# Maps between spaces

**Original p-  
dimensional space**

**Basis  
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**n-dimensional  
approx. kernel  
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$$\mathbf{Y} = \mathbf{X} \boldsymbol{\beta} + \varepsilon, \quad \longleftrightarrow \quad \mathbf{Y} = \mathbf{Z}^T \mathbf{c} + \varepsilon \quad \longleftrightarrow \quad \mathbf{Y} = \mathbf{K} \boldsymbol{\alpha} + \varepsilon,$$

# Generalized kernel model

We can specify the generalized kernel model as

$$y_i \sim p(y \mid \mu_i), \quad \mu_i = g(\mathbf{z}_i^T \mathbf{c}).$$

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In terms of the approximate kernel

$$y_i \sim p(y \mid \mu_i), \quad \mu_i = g(\mathbf{k}_i^T \boldsymbol{\alpha}).$$

where

$$\mathbf{k}_i = \mathbf{K}_{i\cdot} = \left[ \tilde{k}(\mathbf{x}_i, \mathbf{x}_1), \dots, \tilde{k}(\mathbf{x}_i, \mathbf{x}_n) \right]^T.$$

# Generalized factored kernel model

**K** is symmetric and positive (semi) definite:

$$\mathbf{K} = \mathbf{U} \mathbf{\Lambda} \mathbf{U}^T,$$

**U** is an  $n \times n$  orthogonal matrix of eigenvectors

$\mathbf{\Lambda} = \text{diag}(\lambda_1, \dots, \lambda_n)$  is a diagonal matrix of eigenvalues.

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Factor kernel regression model

$$y_i \sim p(y \mid \mu_i), \quad \mu_i = g(\mathbf{u}_i^T \boldsymbol{\theta}),$$

where  $\mathbf{u}_i = \mathbf{U}_{i\cdot}$  and  $\boldsymbol{\theta} = \mathbf{\Lambda} \mathbf{U}^T \boldsymbol{\alpha}$ .

# Projection onto original covariates

Recall that

$$\alpha = \mathbf{KZ}^T \mathbf{c}, \quad \theta = \mathbf{\Lambda U}^T \alpha.$$



# Projection onto original covariates

Recall that

$$\alpha = \mathbf{K}\mathbf{Z}^T \mathbf{c}, \quad \theta = \mathbf{\Lambda}\mathbf{U}^T \alpha.$$

This implies a “general effect size”

$$\mathbf{c} = (\mathbf{\Lambda}\mathbf{U}^T \mathbf{K}^{-1} \mathbf{Z}^T)^{-1}, \quad \beta = \mathbf{X}^\dagger \mathbf{Z}^T \mathbf{c}.$$

# Capturing nonlinear interactions

A warm up example

$$\begin{aligned} K(\mathbf{u}, \mathbf{v}) &= (\mathbf{u}^T \mathbf{v} + c)^2 \\ &= \sum_{k=1}^p (\mathbf{u}_k^2) (\mathbf{v}_k^2) + \sum_{k=2}^p \sum_{j=1}^{k-1} (\sqrt{2} \mathbf{u}_k \mathbf{u}_j) (\sqrt{2} \mathbf{v}_k \mathbf{v}_j) \\ &\quad + \sum_{k=1}^p (\sqrt{2c} \mathbf{u}_k) (\sqrt{2c} \mathbf{v}_k) + c^2 \end{aligned}$$

# Capturing nonlinear interactions

Taylor expand  $\mathbf{z}(\mathbf{x}_i)$  and  $\mathbf{z}(\mathbf{x}_j)$

$$\begin{aligned}\mathbf{z}(\mathbf{x}_i)^T \mathbf{z}(\mathbf{x}_j) &= \frac{2}{p} [\cos(\boldsymbol{\Omega}^T \mathbf{x}_i + \mathbf{b}) \cos(\mathbf{x}_j^T \boldsymbol{\Omega} + \mathbf{b})] \\ &= \frac{2}{p} \sum_{r=0}^{\infty} \sum_{t=0}^{\infty} (-1)^{r+t} \frac{(\boldsymbol{\Omega}^T \mathbf{x}_i + \mathbf{b})^{2r} (\mathbf{x}_j^T \boldsymbol{\Omega} + \mathbf{b})^{2t}}{(2r!)(2t!)}.\end{aligned}$$

# Bayesian modeling and inference

The model parameters  $\theta \in \Theta$  are a priori specified as  $\pi(\theta)$ .

The likelihood of the data  $\mathbf{D}$  is specified as  $\text{Lik}(\mathbf{D} | \theta)$ .

We want to compute the posterior of  $\theta$  given data  $\mathbf{D}$

$$\text{Post}(\theta | \mathbf{D}) = \frac{\pi(\theta) \text{Lik}(\mathbf{D} | \theta)}{\int_{\Theta} \pi(\theta) \text{Lik}(\mathbf{D} | \theta) d\theta} = \frac{\text{prior} \times \text{likelihood}}{\text{marginal likelihood}}.$$

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Typically we cannot compute  $\text{Post}(\theta | \mathbf{D})$  but we can sample

$$\theta \sim \text{Post}(\theta | \mathbf{D}).$$

# Model specification: nonlinear regression

Hierarchical factor model specification

$$y_i = \mathbf{u}_i^T \boldsymbol{\theta} + \varepsilon_i, \quad \varepsilon_i \sim \text{N}(0, \sigma_\varepsilon^2),$$

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Implicit normal prior on  $\boldsymbol{\beta}$

$$\boldsymbol{\beta} \propto \exp\left\{-\frac{1}{2\sigma_\theta^2}\boldsymbol{\beta}^T(\mathbf{B}\boldsymbol{\Lambda}\mathbf{B}^T)^{-1}\boldsymbol{\beta}\right\}, \quad \mathbf{B} = \mathbf{X}^\dagger \mathbf{Z}^T (\boldsymbol{\Lambda} \mathbf{U}^T \mathbf{K}^{-1} \mathbf{Z}^T)^{-1}.$$

# Model specification: nonlinear binary regression

Specify the following hierarchical model

$$y_i = \begin{cases} 1 & \text{if } s_i > 0 \\ 0 & \text{if } s_i \leq 0, \end{cases}$$
$$s_i = \mathbf{u}_i^T \boldsymbol{\theta} + \varepsilon_i, \quad \varepsilon_i \sim \text{N}(0, 1),$$

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# Model specification: nonlinear mixed model

Specify the following hierarchical model

$$y_i = \mathbf{u}_i^T \boldsymbol{\theta} + \varphi_i + \varepsilon_i, \quad \varepsilon_i \sim \mathcal{N}(0, \sigma_\varepsilon^2), \quad \varphi_i \perp\!\!\!\perp \varepsilon_i,$$

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use  $\boldsymbol{\Delta}$  to model batch effects or relatedness (kinship matrix).

# Posterior sampling

Use Gibb's sample to obtain posterior

(1)  $\boldsymbol{\theta} \mid \sigma_{\boldsymbol{\theta}}^2, \sigma_{\varepsilon}^2, \mathbf{D} \sim \text{MVN}(\mathbf{m}_{\boldsymbol{\theta}}^*, \mathbf{V}_{\boldsymbol{\theta}}^*)$  with  
 $\mathbf{V}_{\boldsymbol{\theta}}^* = \sigma_{\varepsilon}^2 \sigma_{\boldsymbol{\theta}}^2 (\sigma_{\varepsilon}^2 \boldsymbol{\Lambda}^{-1} + \sigma_{\boldsymbol{\theta}}^2 \mathbf{I}_q)^{-1}$  and  $\mathbf{m}_{\boldsymbol{\theta}}^* = \frac{1}{\sigma_{\varepsilon}^2} \mathbf{V}_{\boldsymbol{\theta}}^* \mathbf{U}^T \mathbf{Y}$ ;

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- (4)  $\sigma_{\varepsilon}^2 \mid \boldsymbol{\theta}, \sigma_{\theta}^2, \mathbf{D} \sim \text{Scale-inv} - \chi^2(\nu_{\varepsilon}^*, \phi_{\varepsilon}^*)$  where  $\nu_{\varepsilon}^* = \nu_{\varepsilon} + n$  and  
 $\phi_{\varepsilon}^* = \frac{1}{\nu_{\varepsilon}^*} (\nu_{\varepsilon} \phi_{\varepsilon} + \boldsymbol{\varepsilon}^T \boldsymbol{\varepsilon})$ , with  $\boldsymbol{\varepsilon} = \mathbf{Y} - \mathbf{U}\boldsymbol{\theta}$ .

Obtain MCMC samples  $\left\{ \boldsymbol{\beta}^{(t)} \right\}_{t=1}^T$ .

# Posterior probability of association analog (PPAA)

- ❖ Hard-thresholding, while controlling for specific error rates.
- ❖ **Posterior Probability of Association Analogue (PPAA):**

$$\Pr[\hat{\gamma}_j = 1 \mid \mathbf{y}] \iff \Pr[|\hat{\beta}_j| > z_{j^*} \mid \mathbf{y}]$$

# Capturing nonlinear interactions

A warm up example

$$\begin{aligned} K(\mathbf{u}, \mathbf{v}) &= (\mathbf{u}^T \mathbf{v} + c)^2 \\ &= \sum_{k=1}^p (\mathbf{u}_k^2) (\mathbf{v}_k^2) + \sum_{k=2}^p \sum_{j=1}^{k-1} (\sqrt{2} \mathbf{u}_k \mathbf{u}_j) (\sqrt{2} \mathbf{v}_k \mathbf{v}_j) \\ &\quad + \sum_{k=1}^p (\sqrt{2c} \mathbf{u}_k) (\sqrt{2c} \mathbf{v}_k) + c^2 \end{aligned}$$

# Capturing nonlinear interactions

The Gaussian kernel

$$k(\mathbf{u}, \mathbf{v}) = \exp\{-h\|\mathbf{u}\|^2\} \exp\{-h\|\mathbf{v}\|^2\} \exp\{-h\langle \mathbf{u}, \mathbf{v} \rangle\}.$$



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Taylor expand the third term

$$\begin{aligned} \exp\{-h\langle \mathbf{u}, \mathbf{v} \rangle\} &= \sum_{m=0}^{\infty} \frac{h^m}{m!} (\mathbf{u}^\top \mathbf{v})^m \\ (\mathbf{u}^\top \mathbf{v})^m &= \sum_{j \in [p]^m} \left( \prod_{i=1}^m \mathbf{u}_{j_i} \right) \left( \prod_{i=1}^m \mathbf{v}_{j_i} \right). \end{aligned}$$

$[p]^m$  is the set of  $m$  coordinate subsets of the  $p$  coordinates.

# Marginal Epistasis

Marginal epistatic effect — the combined pairwise interaction effects between a given variant and all other variants.

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$$\mathbf{y} = \mu + \mathbf{x}_k\beta_k + \sum_{l \neq k} \mathbf{x}_l\beta_l + \sum_{l \neq k} (\mathbf{x}_k \circ \mathbf{x}_l)\alpha_l + \boldsymbol{\varepsilon},$$

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$\mathbf{x}_k \beta_k$  effect of  $k$ -th variant,  $\mathbf{m}_k$  is the combined additive effects of all other variants with the  $k$ -th variant and  $\mathbf{m}_k \sim \text{MVN}(0, \omega^2 \mathbf{K}_k)$  with  $\mathbf{K}_k = \mathbf{X}_{-k} \mathbf{X}_{-k} / (p - 1)$ ,

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# MArginal ePIstasis Test (MAPIT)

Allows for testing of marginal epistatic effects—the combined pairwise interaction effects between a given variant and all other variants.

This results in  $p$  tests rather than  $\binom{p}{2}$  tests, resulting in savings in computation and statistical power.

Outperforms: in simulations and on GEUVADIS eQTL data

PLINK – all pairs exhaustive search

Two-Step testing procedures

# Collinearity and interpretation

From Gelman and Hill 2007

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- (1) Centering the Data: We center the approximate kernel matrix;
- (2) Orthogonalized the Data: The spectral decomposition performs this step on the data;
- (3) Variable Selection: The g-prior specification on the kernel factor coefficients induces variable selection on the original covariate effect sizes.

# Genomic selection in mice

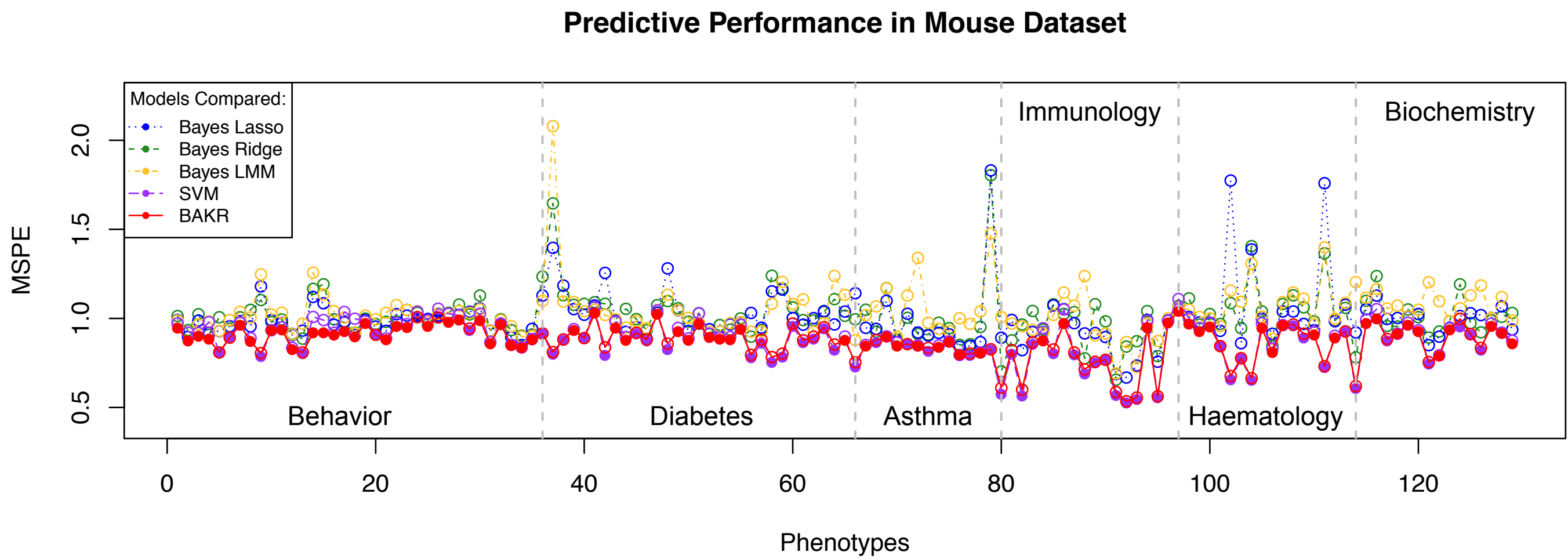
- ❖ Wellcome Trust Centre for Human Genetics
- ❖ Approximately 2,000 *related* mice and 10,000 SNPs
- ❖ Classify 129 traits into 6 broad groups: **behavior, diabetes, asthma, immunology, haematology, and biochemistry**
- ❖ **Models Compared:** Bayesian Lasso, Bayesian Ridge, Bayesian Linear Mixed Model (LMM), Support Vector Machine (SVM)
- ❖ Perform 80-20 (in / out of sample) inter-family splits; 100 times
- ❖ **Accuracy Measure:** Mean Squared Prediction Error (MSPE)

# Genomic selection in mice

	Bayes Lasso	Bayes Ridge	Bayes LMM	SVM	BAKR
MSPE	1.00 (0.16)	1.01 (0.14)	1.04 (0.15)	0.88 (0.12)	<b>0.87 (0.10)</b>
Pr[Optimal]	0.016	0.00	0.00	0.434	<b>0.550</b>

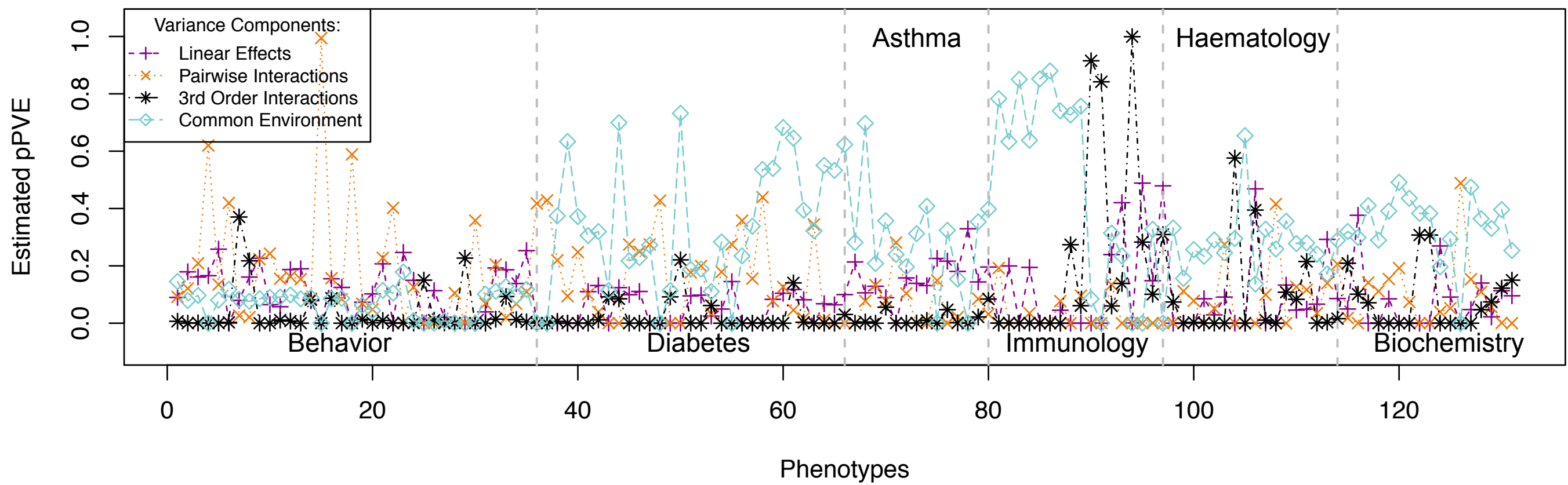
Average MSPE across all 129 phenotypes. The number in parenthesis is the standard error due to random sampling

# Genomic selection in mice



# Genomic selection in mice

Variance Component Analysis in Mouse Dataset

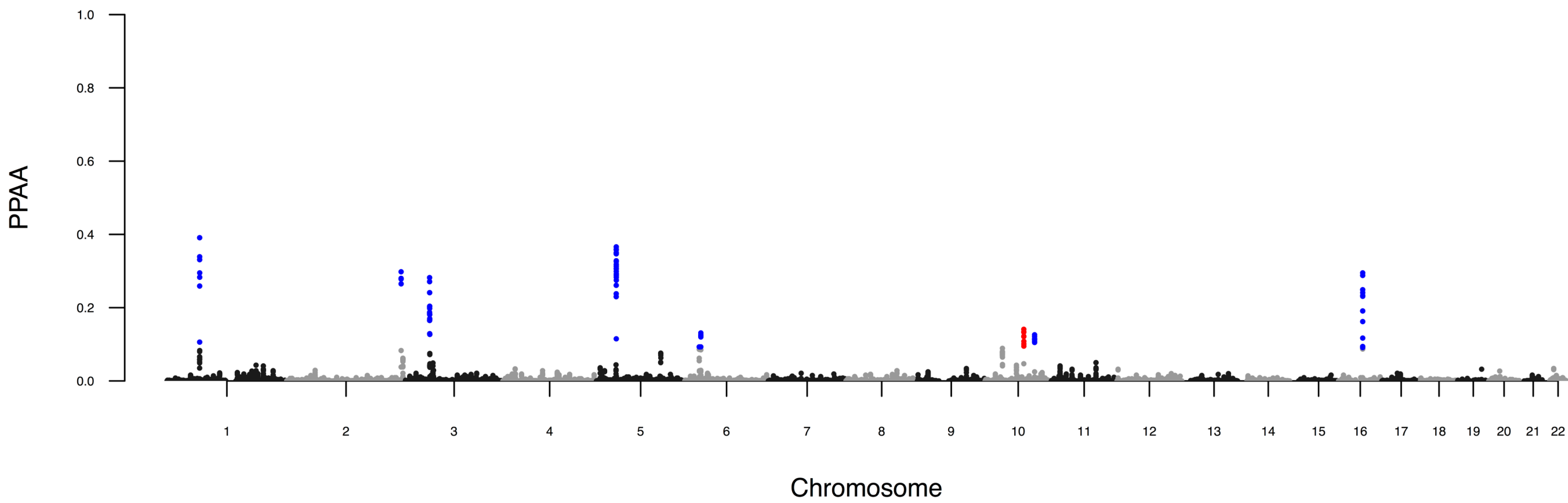


# GWAS in WTCCC

- ❖ Wellcome Trust Case Control Consortium (WTCCC)
- ❖ Includes about 14,000 cases from seven common diseases and about 3,000 shared controls
- ❖ Approximately 450,000 SNPs measured for each individual
- ❖ **Diseases: Crohn's Disease (CD) and Type 1 Diabetes (T1D)**
- ❖ **Significance Measure:** Posterior Probability of Association Analogue (PPAA)

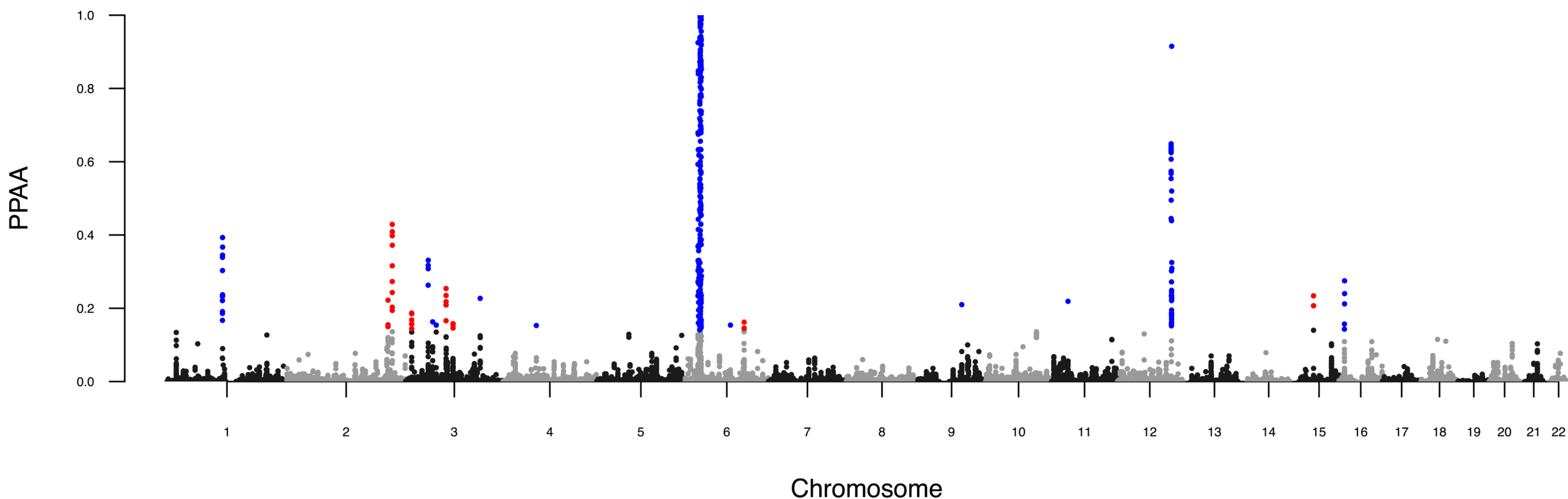
# GWAS in WTCCC

Crohn's Disease (CD)



# GWAS in WTCCC

Type 1 Diabetes (T1D)





# Future work

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4. Bigger, faster, better.

# Code

Bayesian Approximate Kernel Regression (BAKR)

<https://github.com/lorinanthony/BAKR>

MArginal ePIstasis Test (MAPIT)

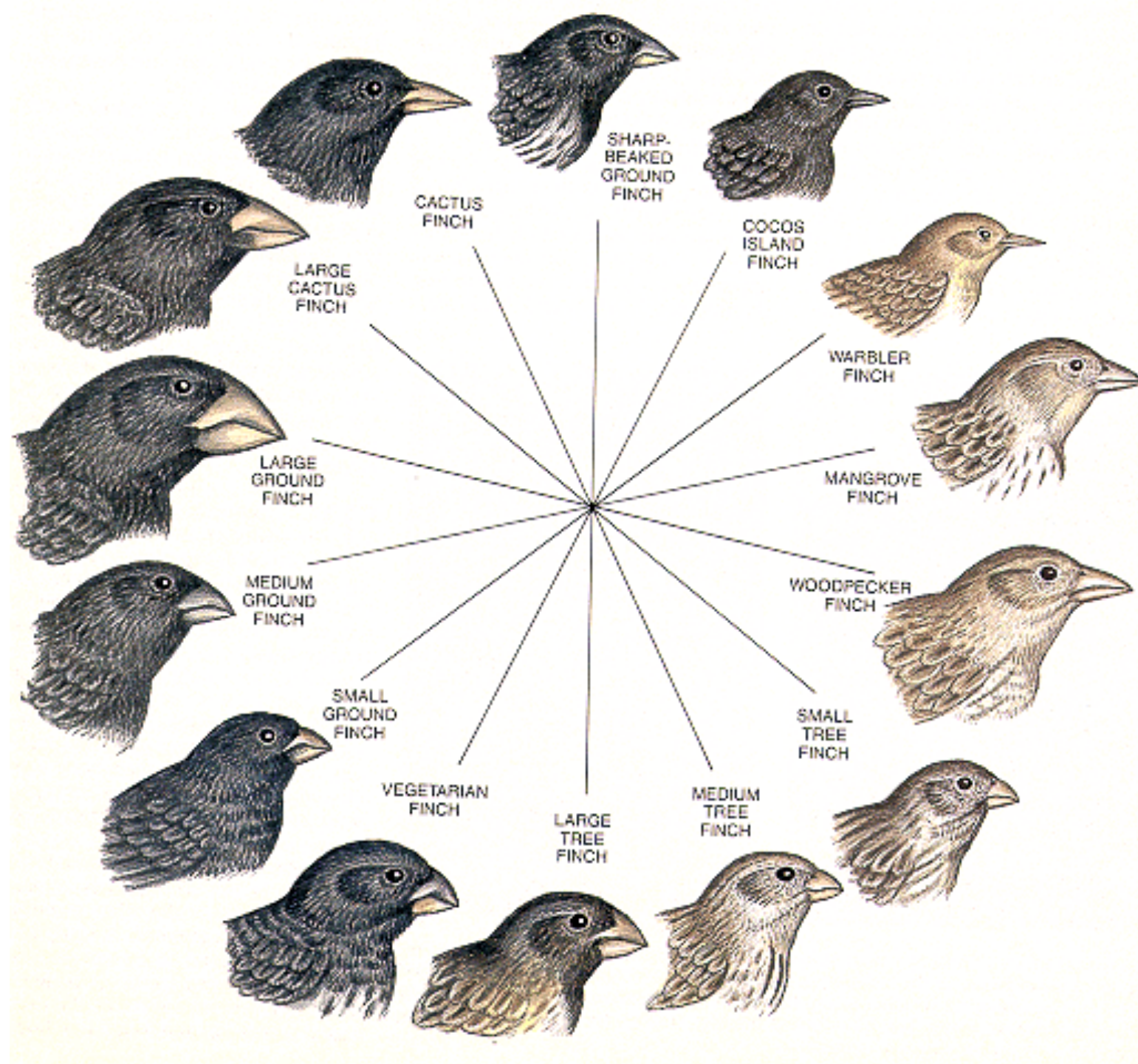
<https://github.com/lorinanthony/MEPIT>

Other association mapping software

<http://www.xzlab.org/software.html>

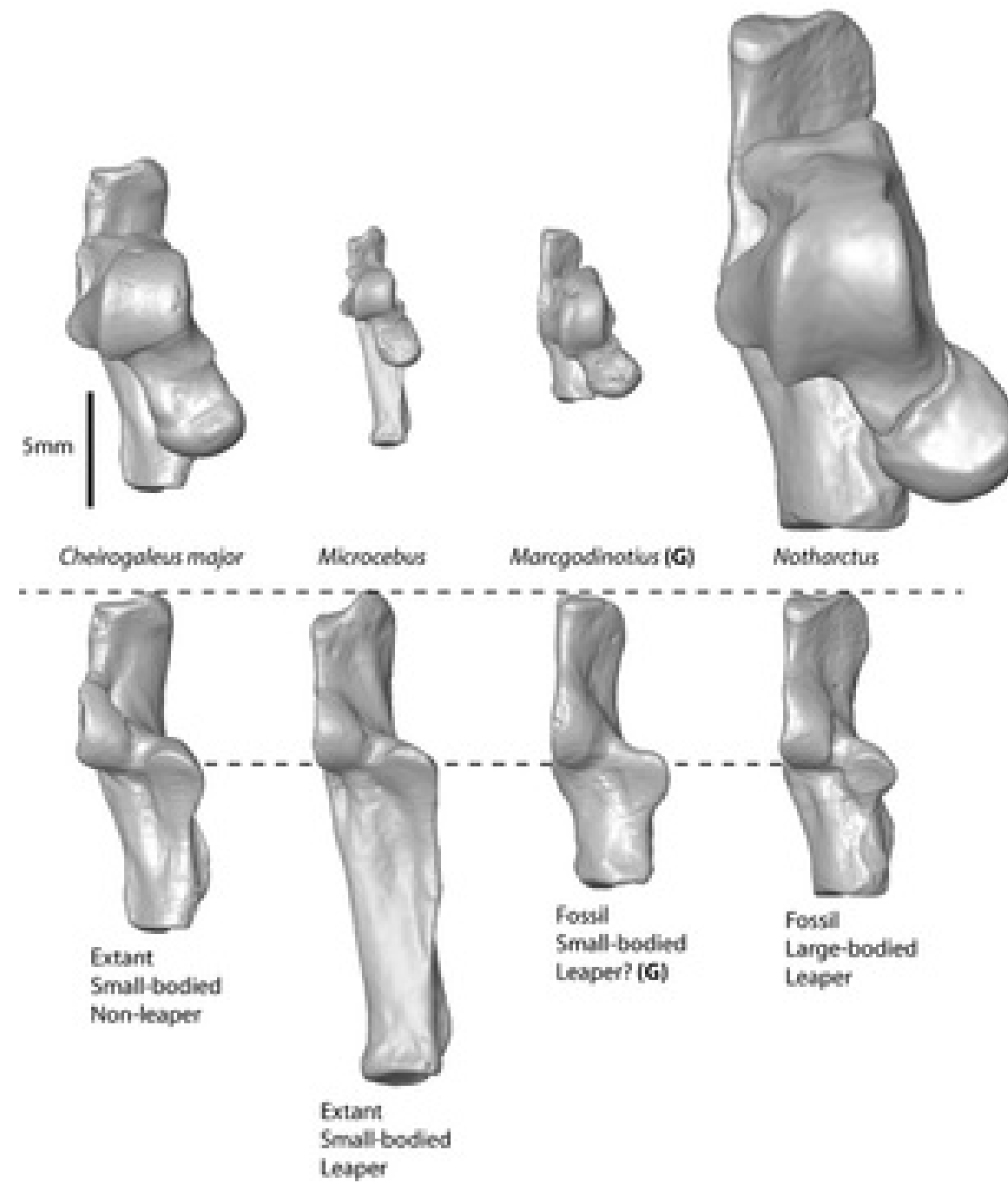
# Digitizing shapes

# Modeling variation in shapes



S. J. Gould

# Variation in calcanei



D. Boyer.



# Models of surfaces

- (1) Shape spaces: Based on landmarks on shapes, defines shape manifolds, procrustean metrics, and complex projective spaces.

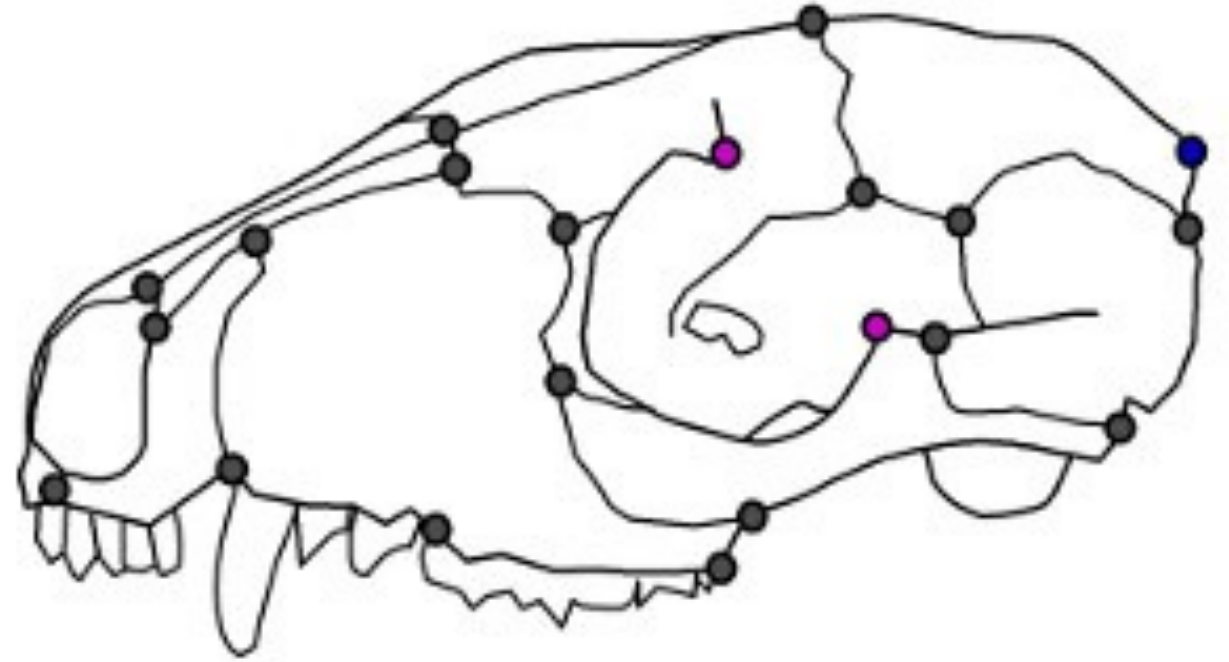
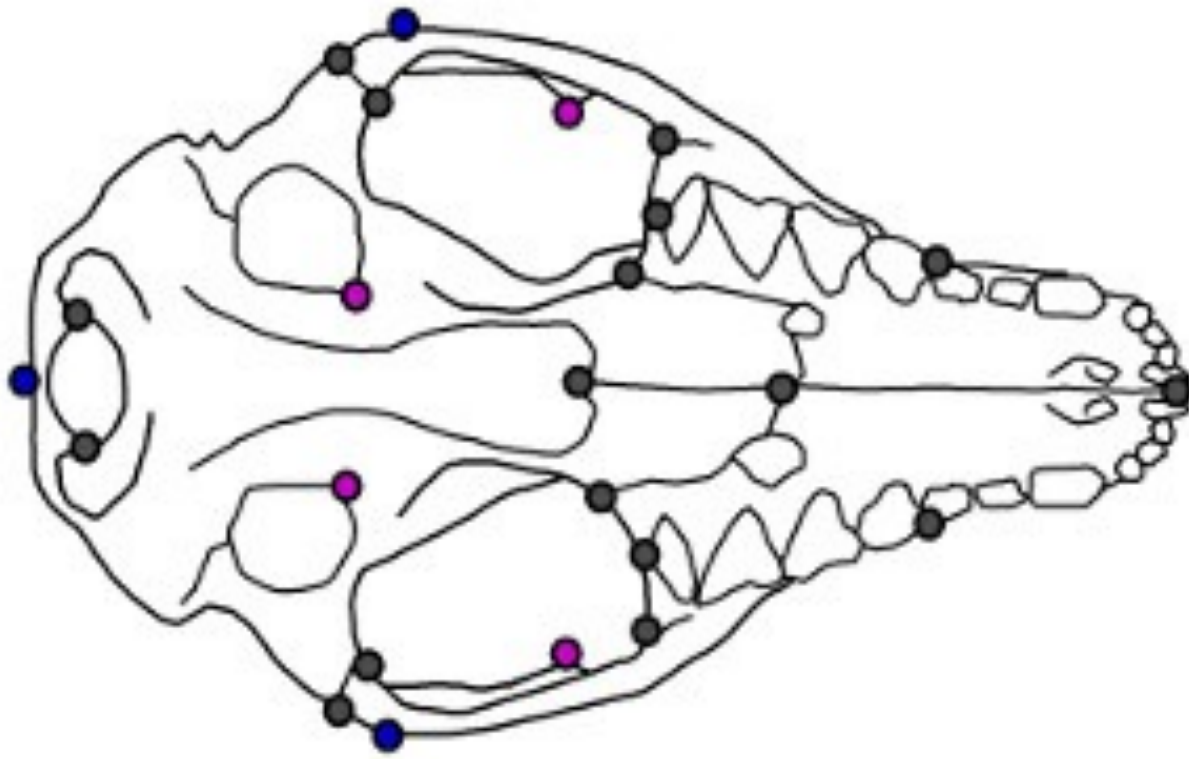
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# Models of surfaces

- (1) Shape spaces: Based on landmarks on shapes, defines shape manifolds, procrustean metrics, and complex projective spaces.
- (2) Diffeomorphism based: Variational problems on flows of diffeomorphisms for image matching. Magnitude of a continuous transformation between shapes.
- (3) Integral geometry: Using topological invariants of extrema of random sets or random fields.

# Landmarks and shape spaces



# 3D image repositories

5/27/2016

MorphoSource



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or

enter search terms



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- [Information for Contributors](#)
- [Terms](#)
- [User Guide](#)



*foot of Daubentonia madagascariensis scanned at 38micron resolution at Duke Evolutionary Anthropology department's new high resolution microCt facility. Click here if you are interested in details on the facility*

## Recently Published

Four bones of a new species of Homo from South Africa.

→ [See all the bones of the newly described Homo naledi](#)

→ [Read the published article](#)



PREVIOUS NEXT

## Welcome

**MorphoSource** is a project-based data archive that allows researchers to store and organize, share, and distribute their own 3d data. Furthermore any registered user can immediately search for and download 3d morphological data sets that have been made accessible through the consent of data authors.

The goal of **MorphoSource** is to provide rapid access to as many researchers as possible, large numbers of raw microCt data and surface meshes representing vouchered specimens.

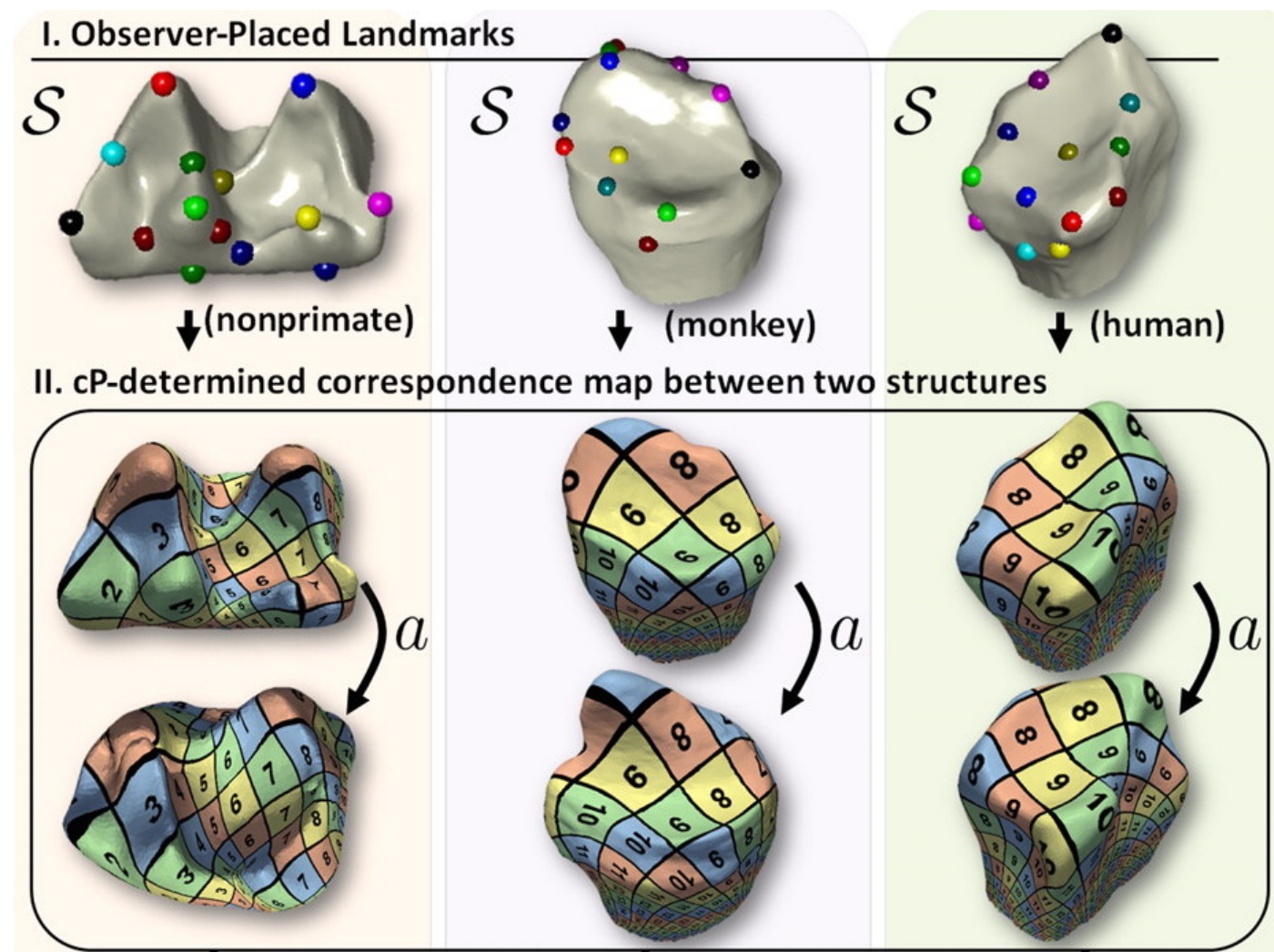
File formats include tiff, dicom, stanford ply, and stl. The website is designed to be self explanatory and to assist you through the process of uploading media and associating it with meta data. If you are interested in using the site for your own data but have questions about security or anything else contact the site administrator. Otherwise please download whatever data you need and check back frequently to see what's new.

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*Commercial use of MorphoSource media is strictly prohibited.*

# Diffeomorphism based approach

Similarity between teeth: Algorithms to automatically quantify the geometric similarity of anatomical surfaces, Boyer et. al. PNAS 2011.



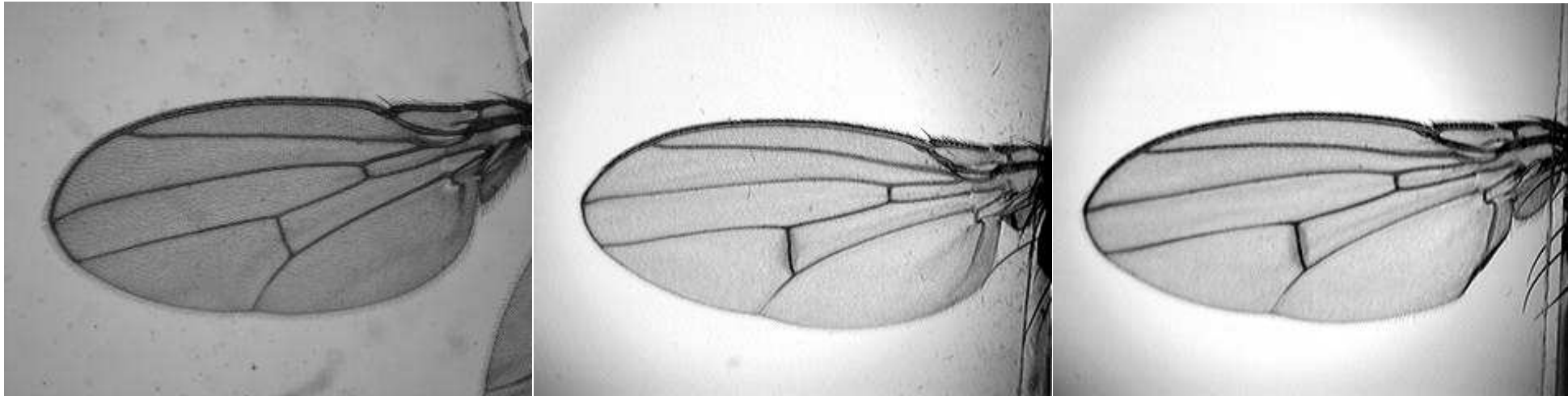
# Homeomorphism between shapes



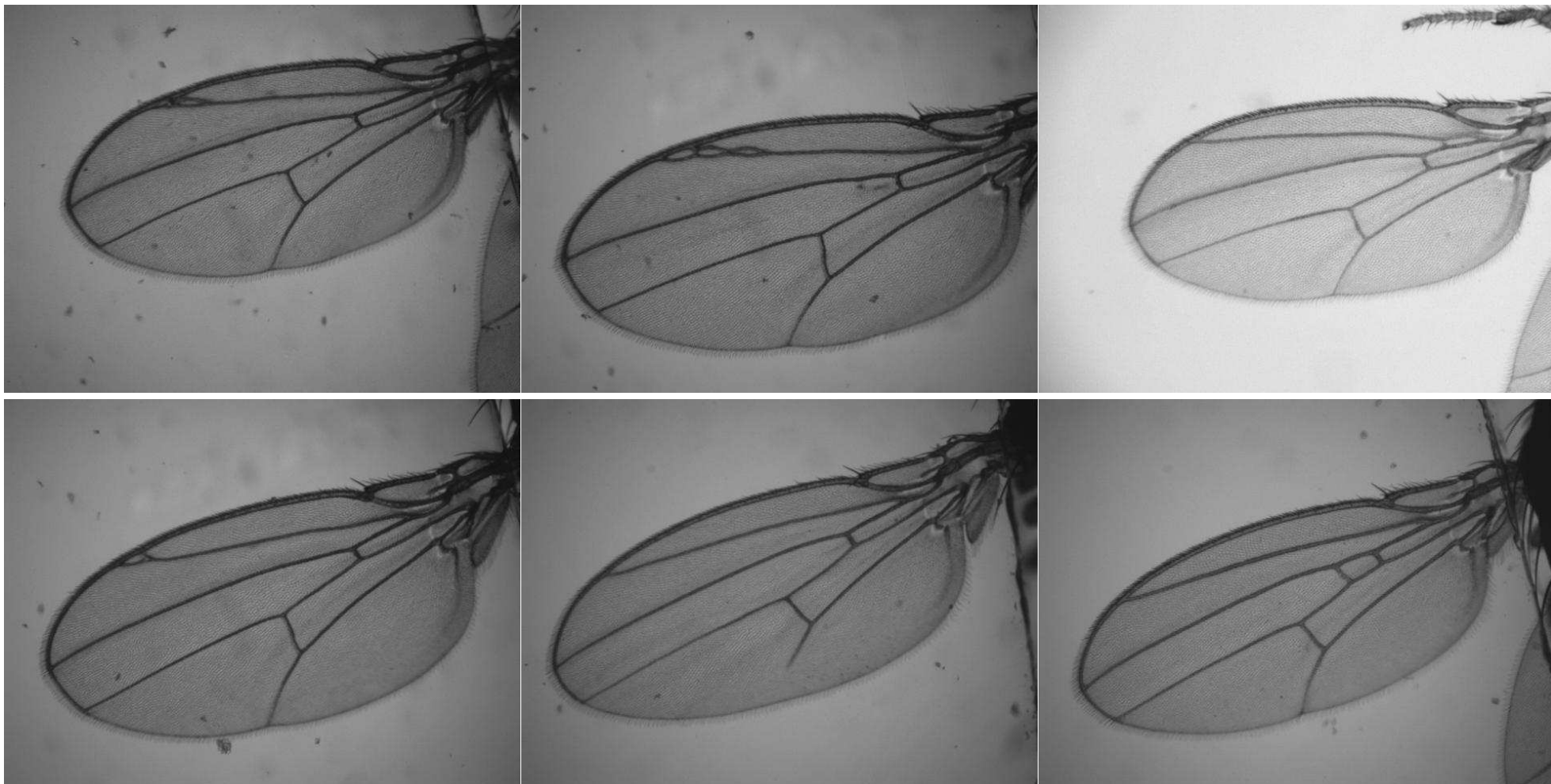


# Fly wings are not homeomorphic

Normal fly wings [photos from David Houle's lab]:



Topologically abnormal veins:





# Our objective

Model shapes without requiring landmarks or diffeomorphisms.

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Transform the data/object into a representation that can be modeled using standard methods.

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- (3) Pull back from the transformed space to positions on shapes.

# Two topological summaries

(1) Euler characteristics.

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(2) Persistent homology.

# Euler characteristic

For a mesh  $M$  in 3 dimensions the Euler characteristic is

$$\chi(M) = \# \text{vertices} - \# \text{edges} + \# \text{faces}.$$



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$$\chi=2$$

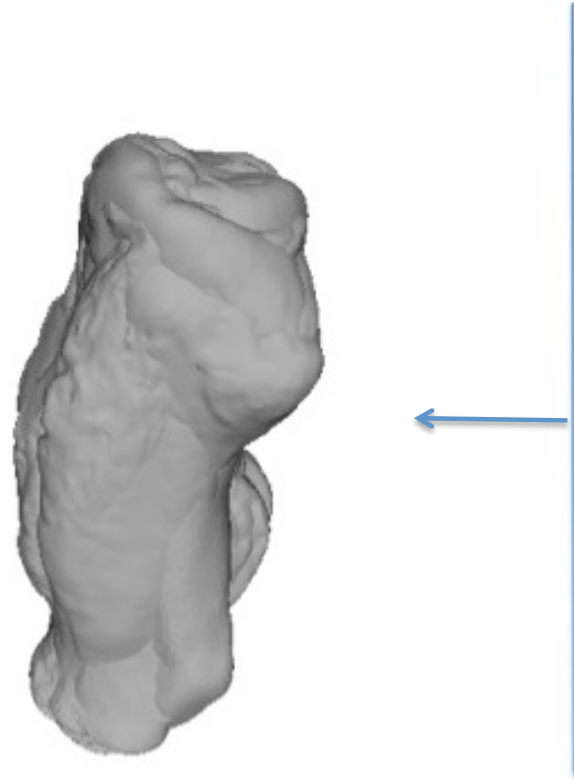


$$\chi=0$$

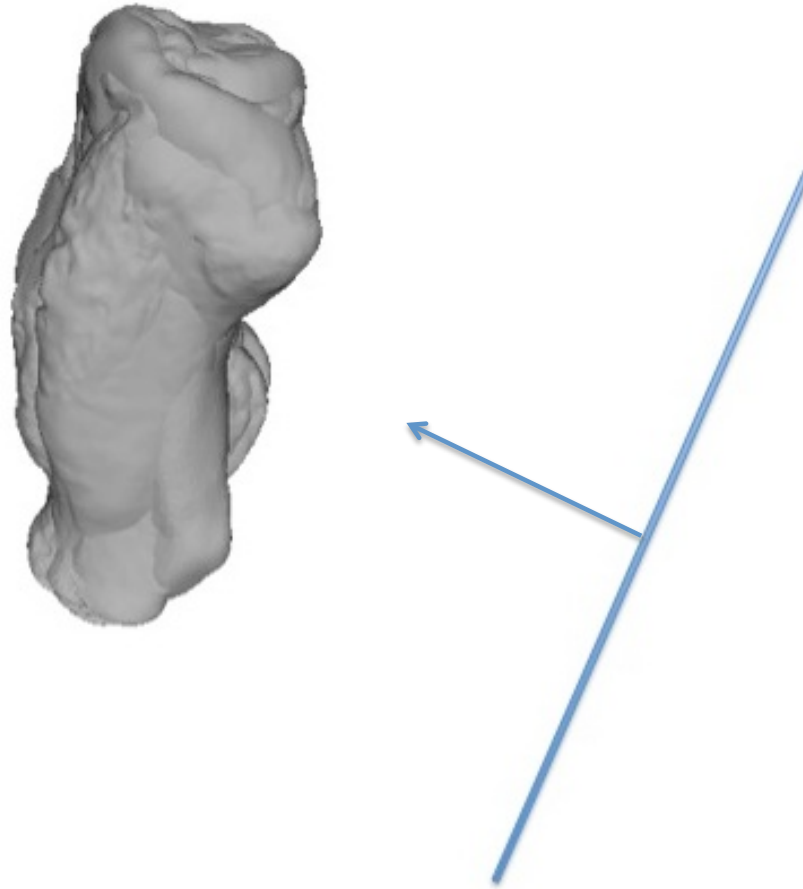


$$\chi=-34$$

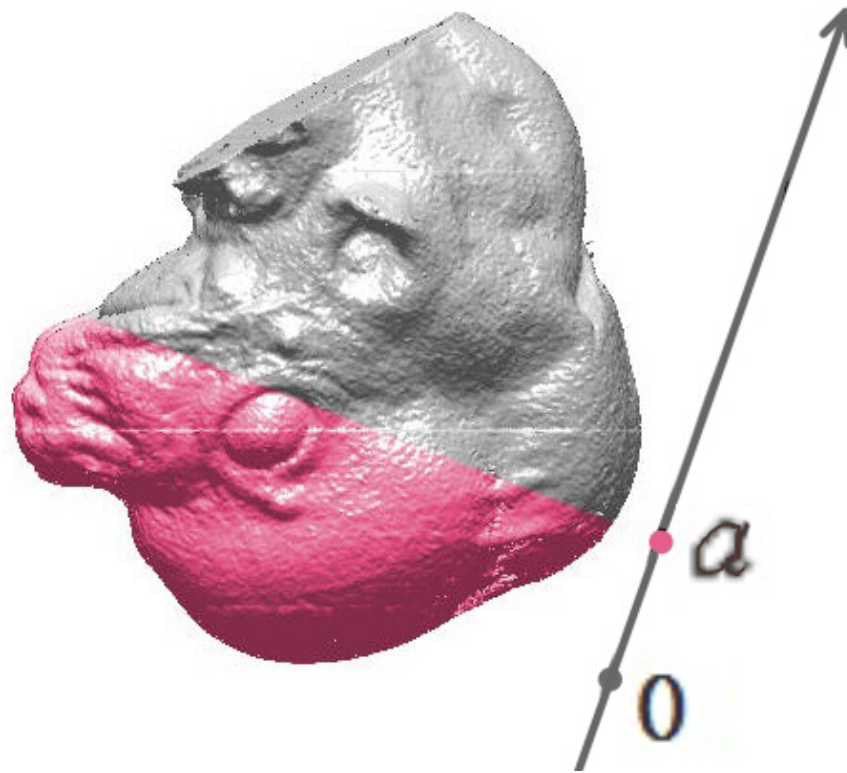
Height function:  $v_1$



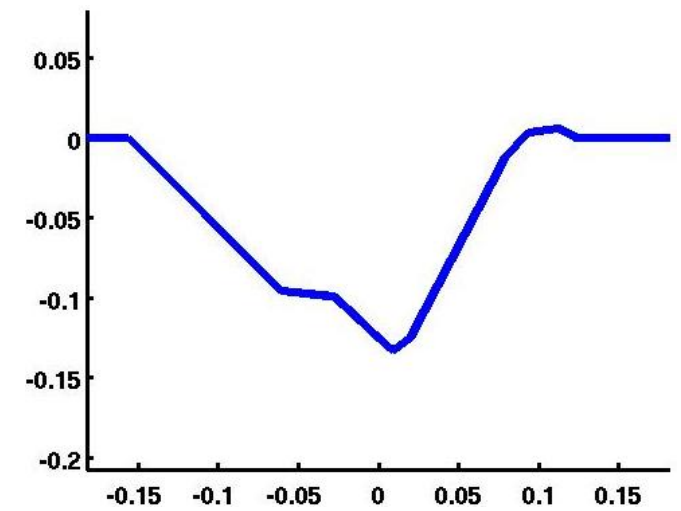
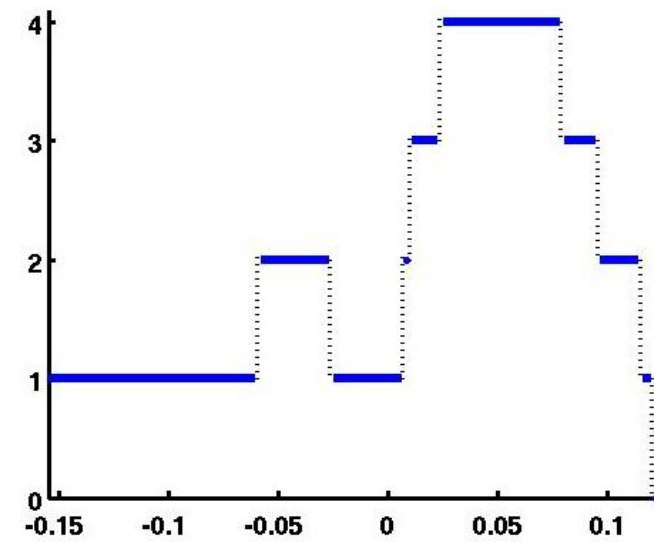
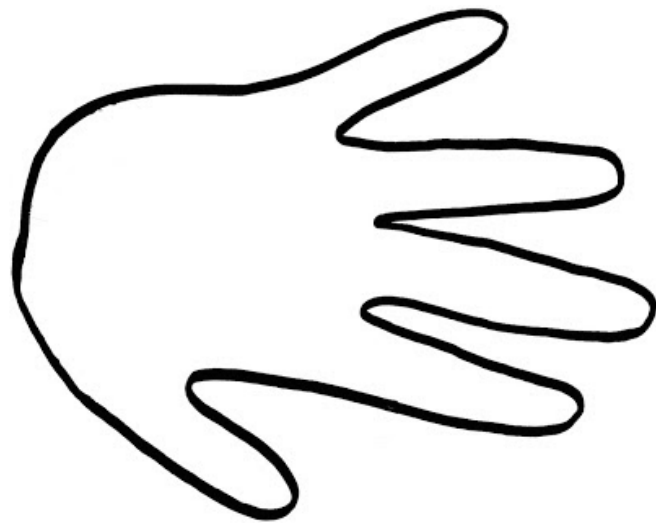
Height function:  $v_2$



# Sublevel set of mouse embryo head

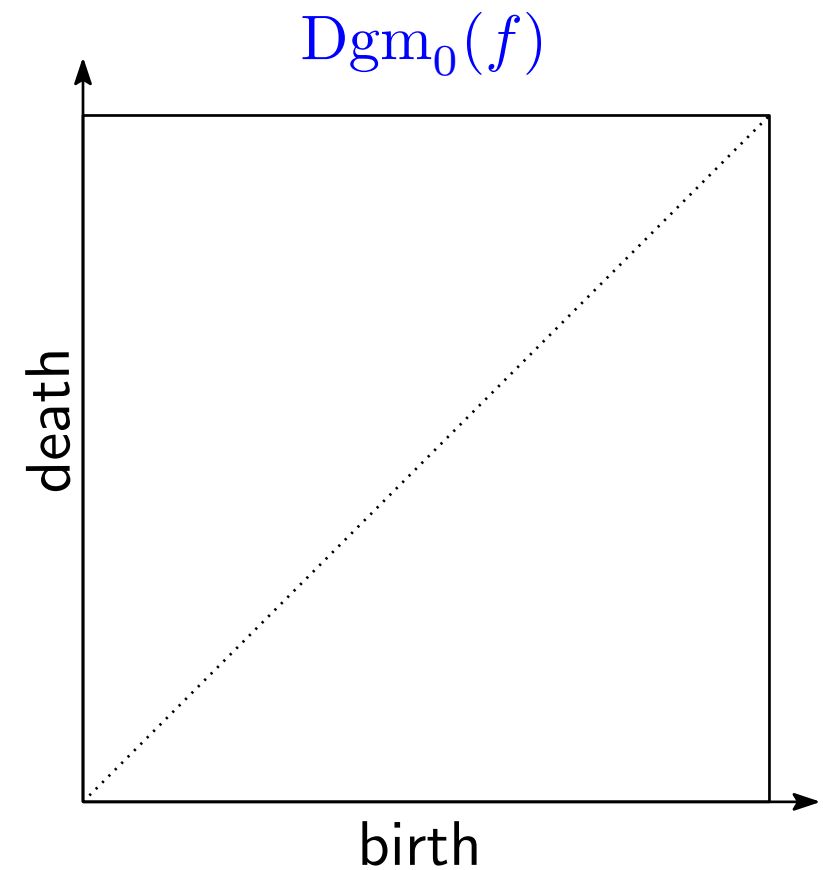
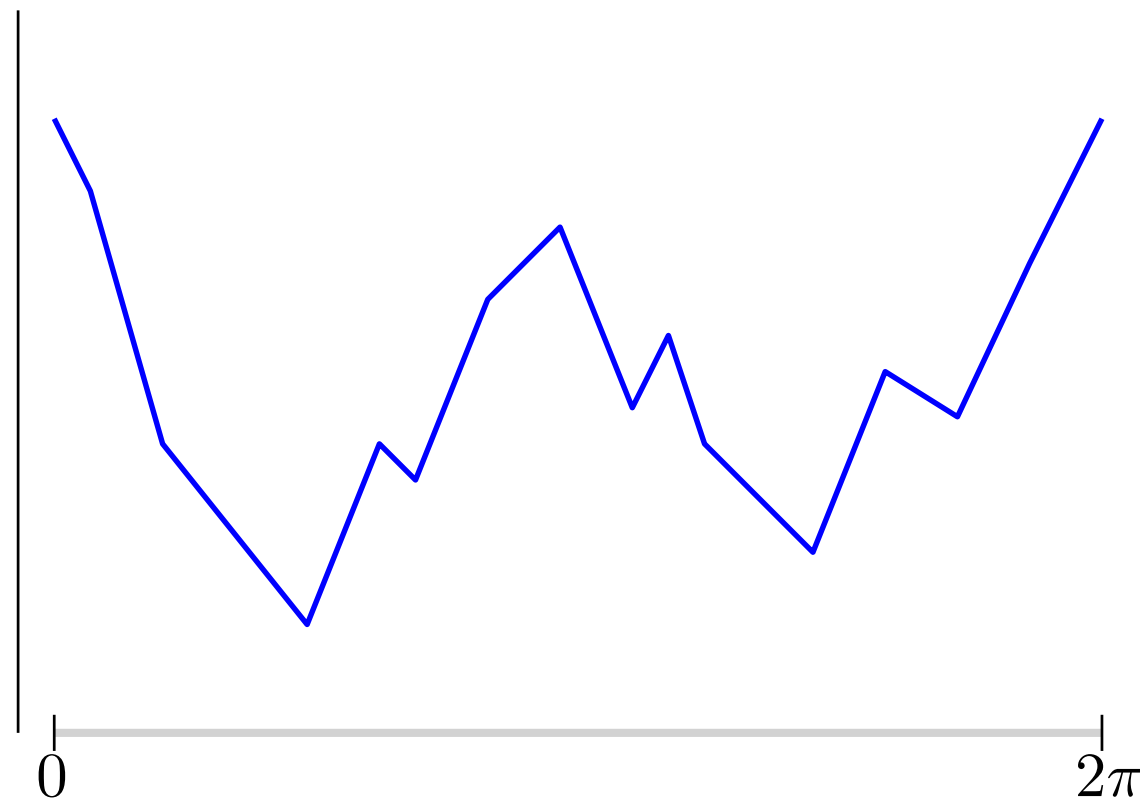


# Euler characteristic curves



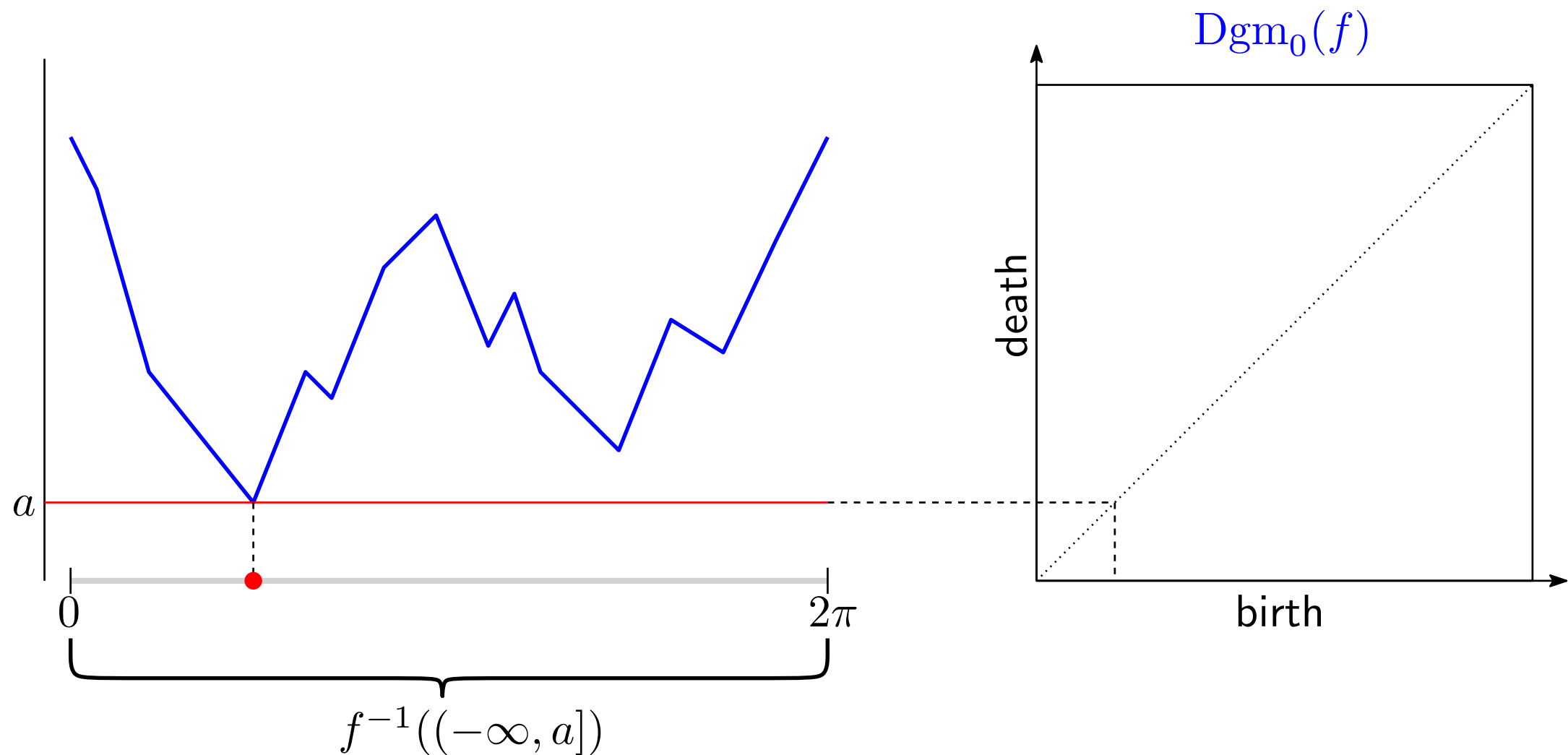
# Persistent homology: Morse theory

Evolution of homology as birth-death pair.



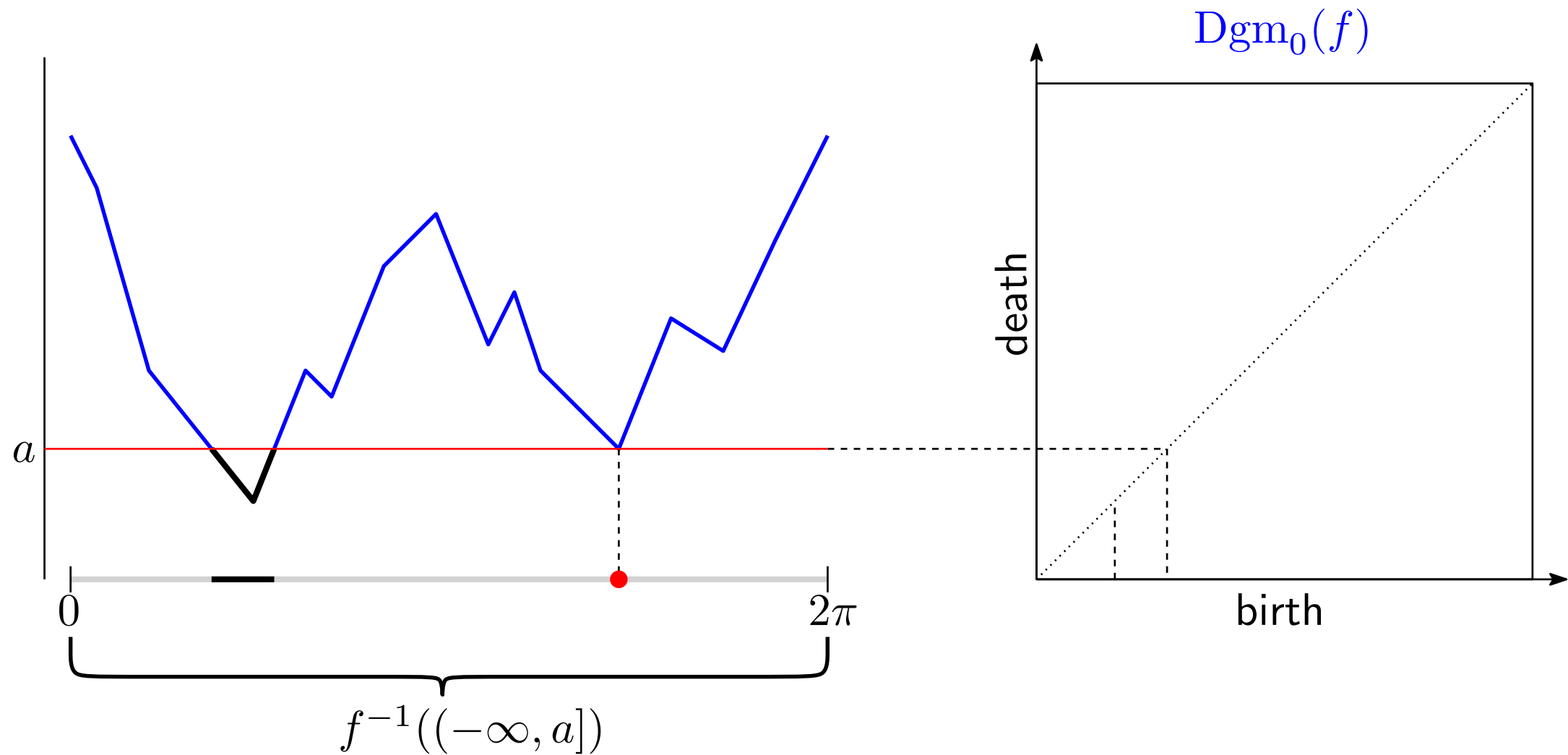
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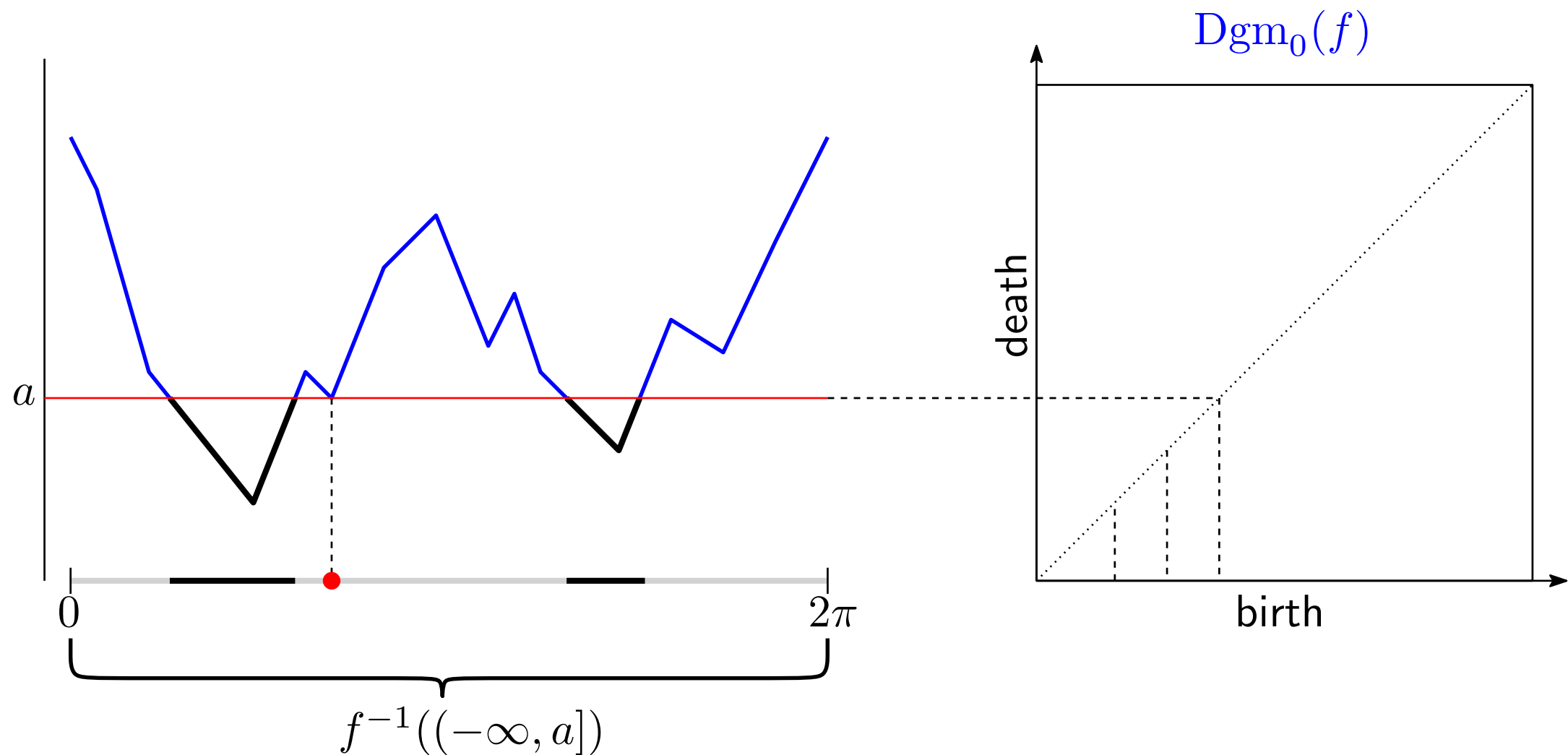
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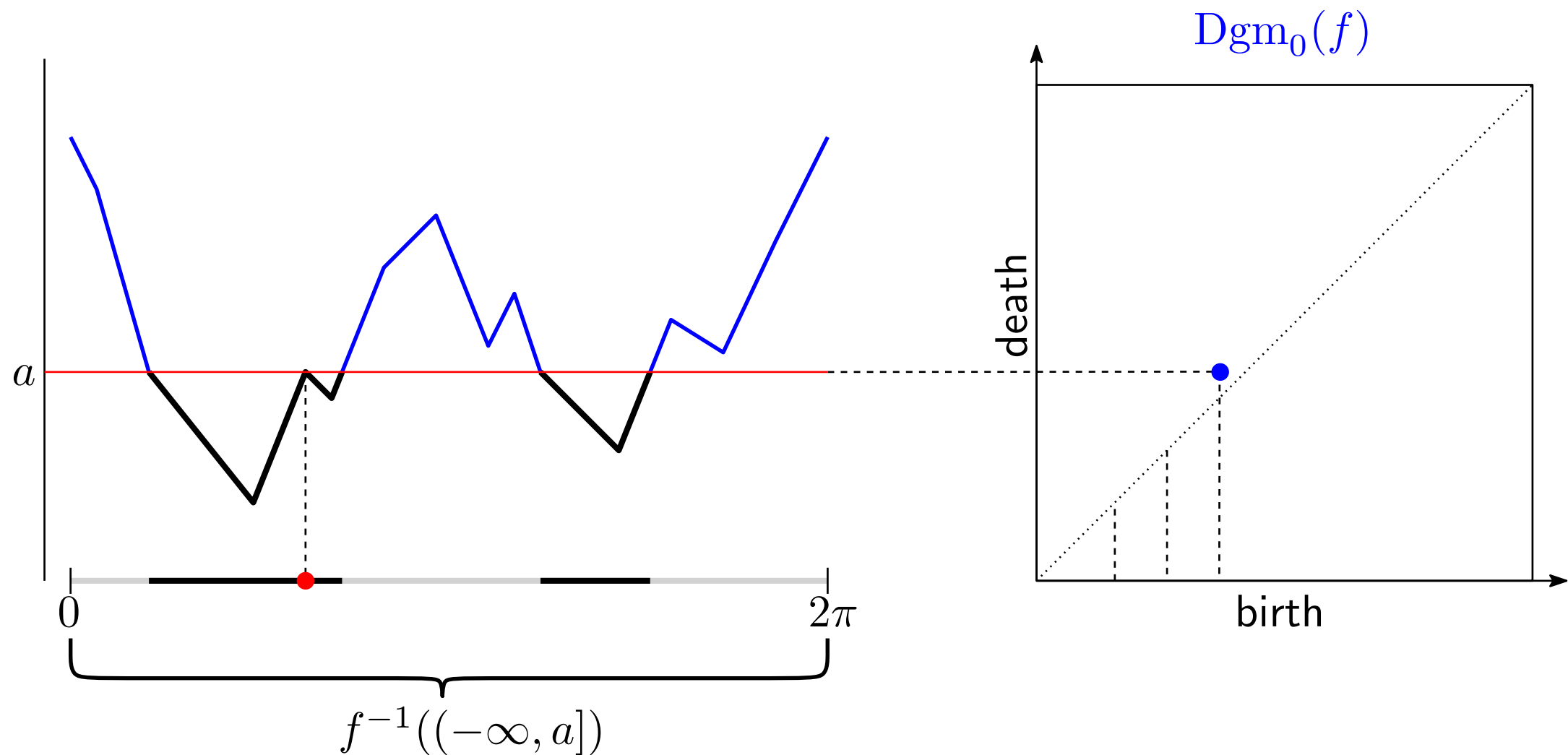
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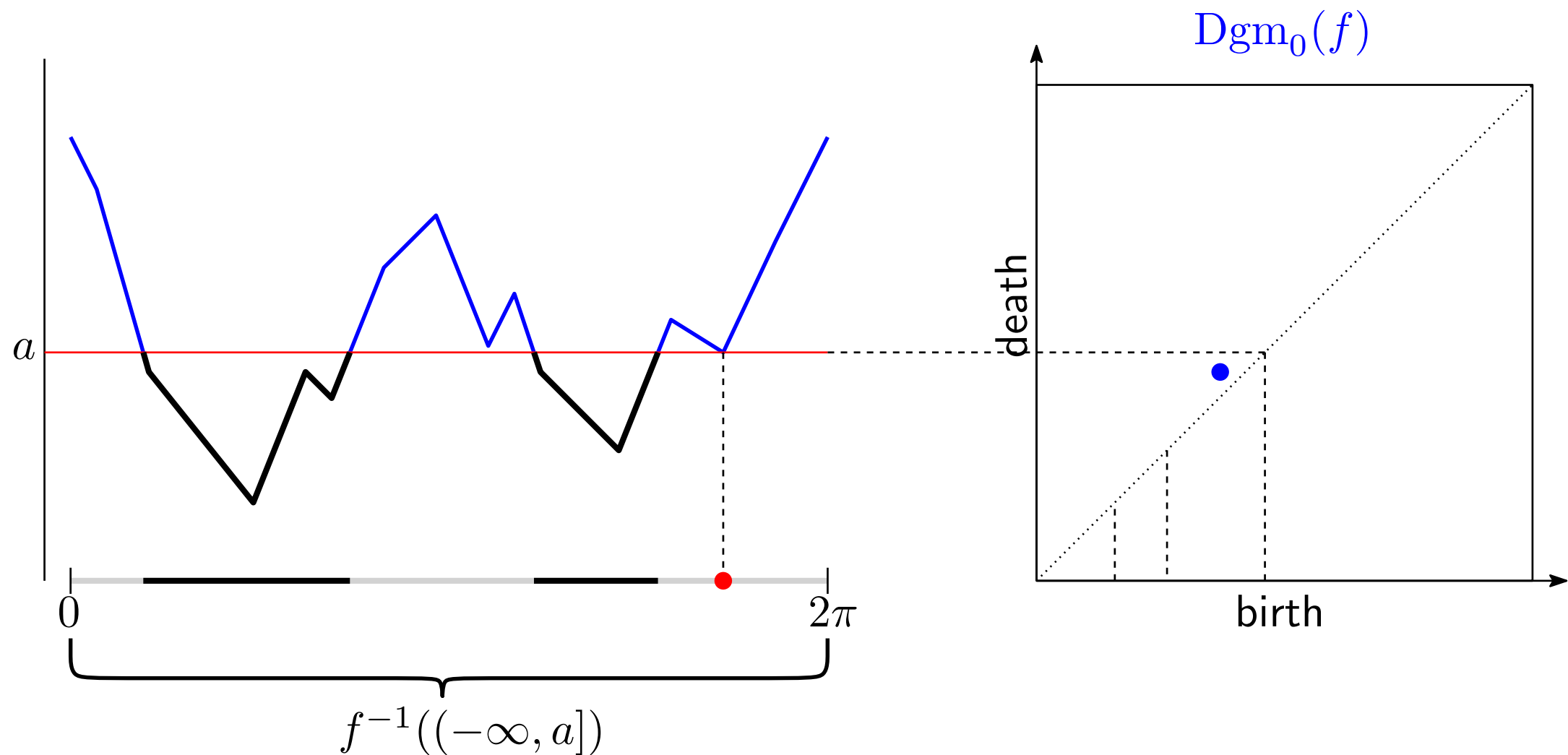
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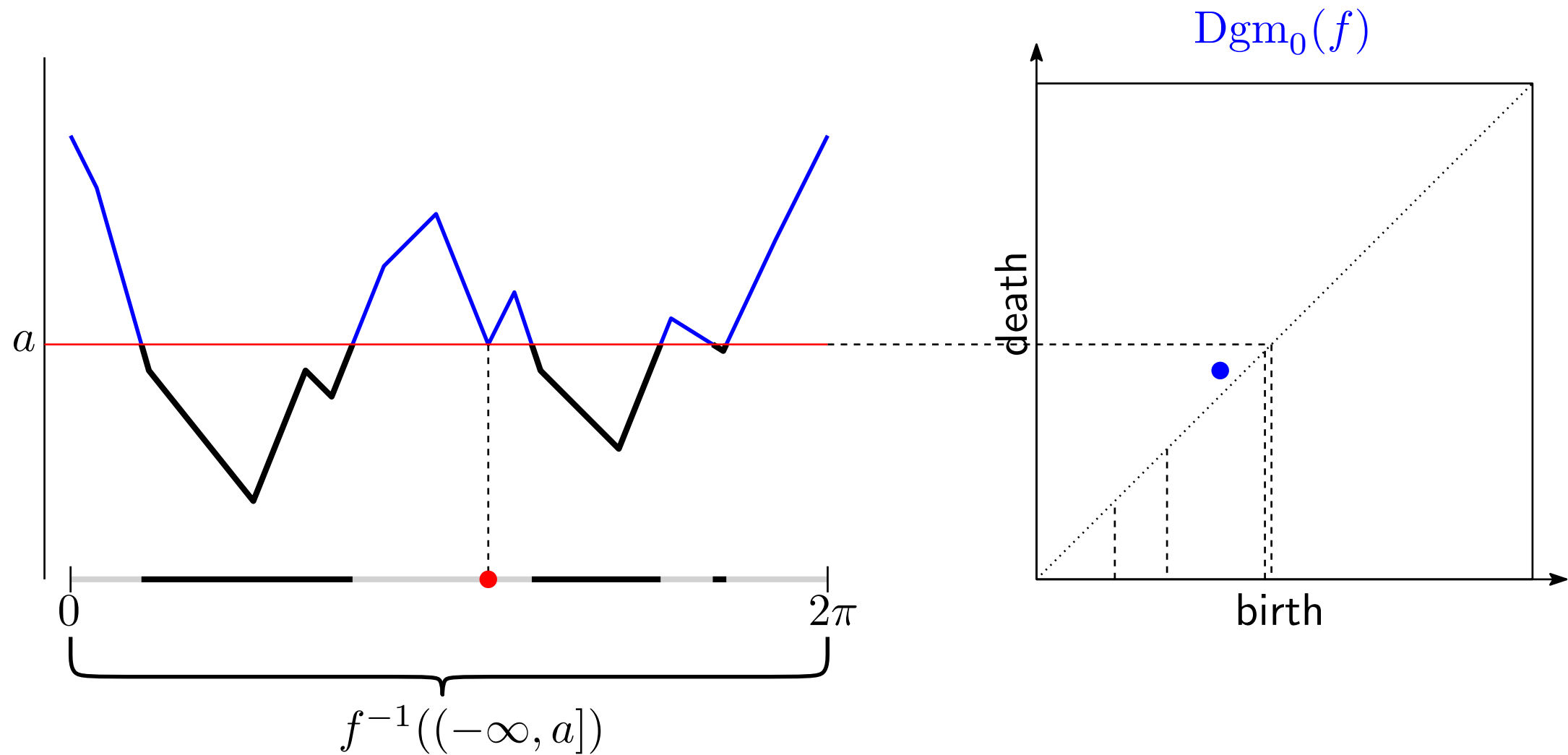
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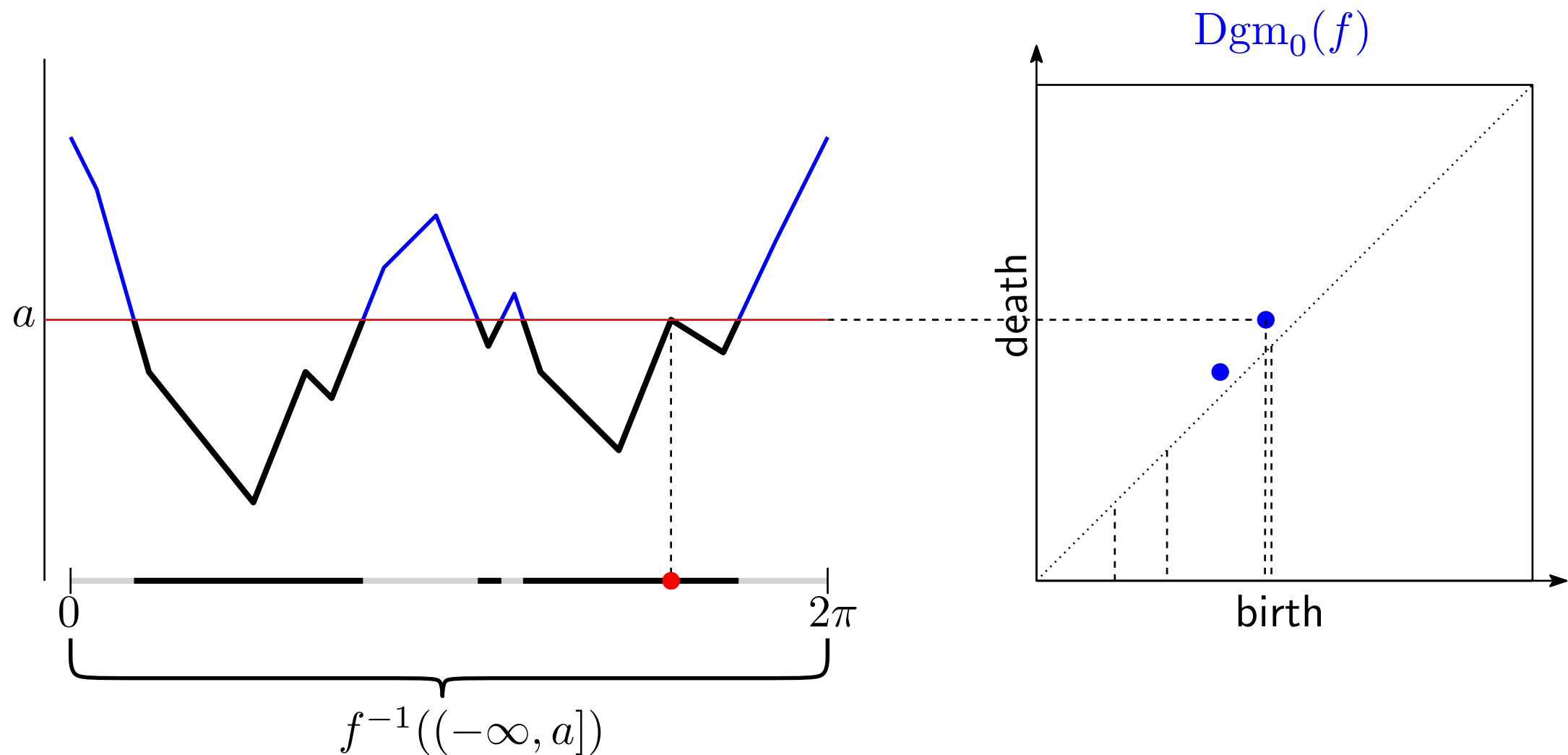
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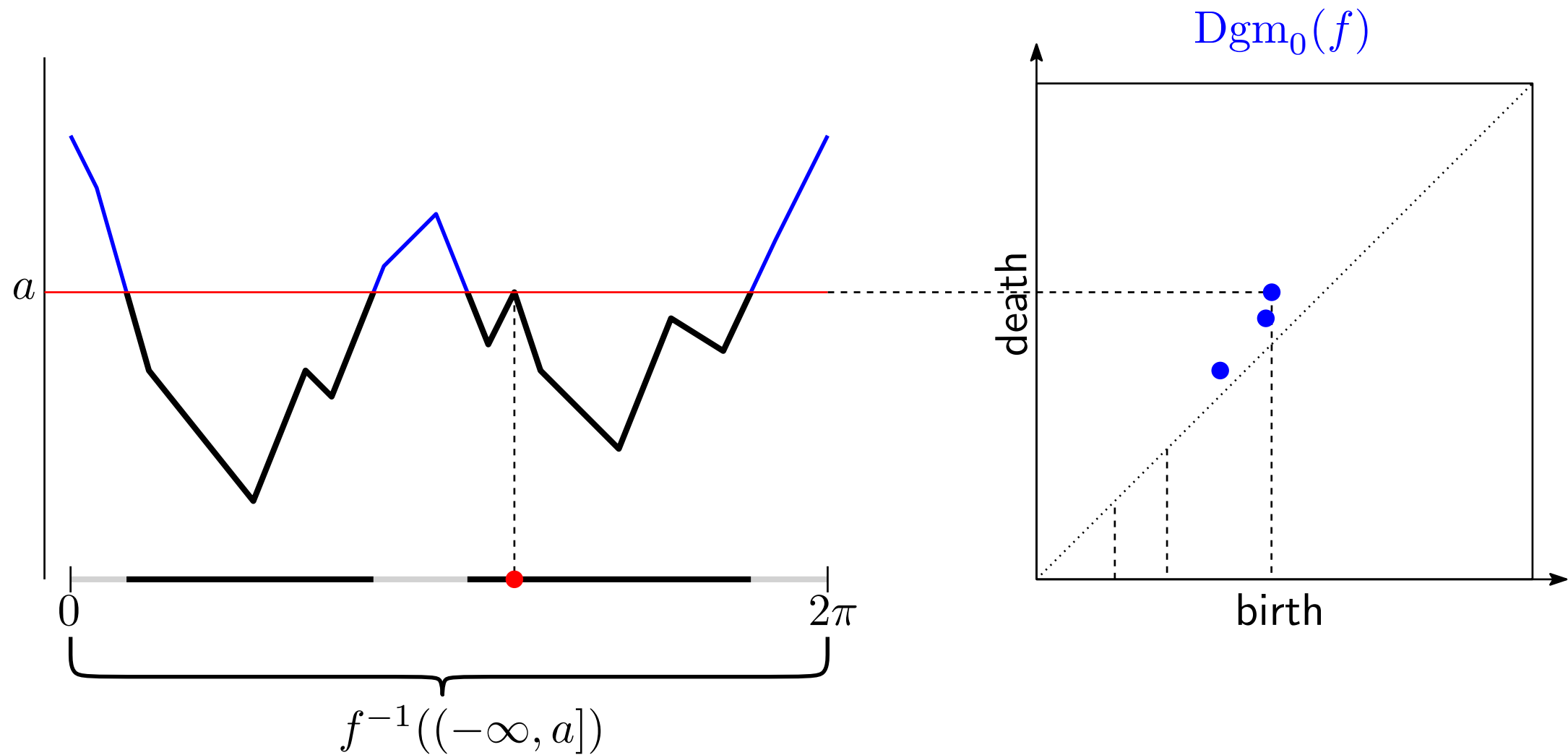
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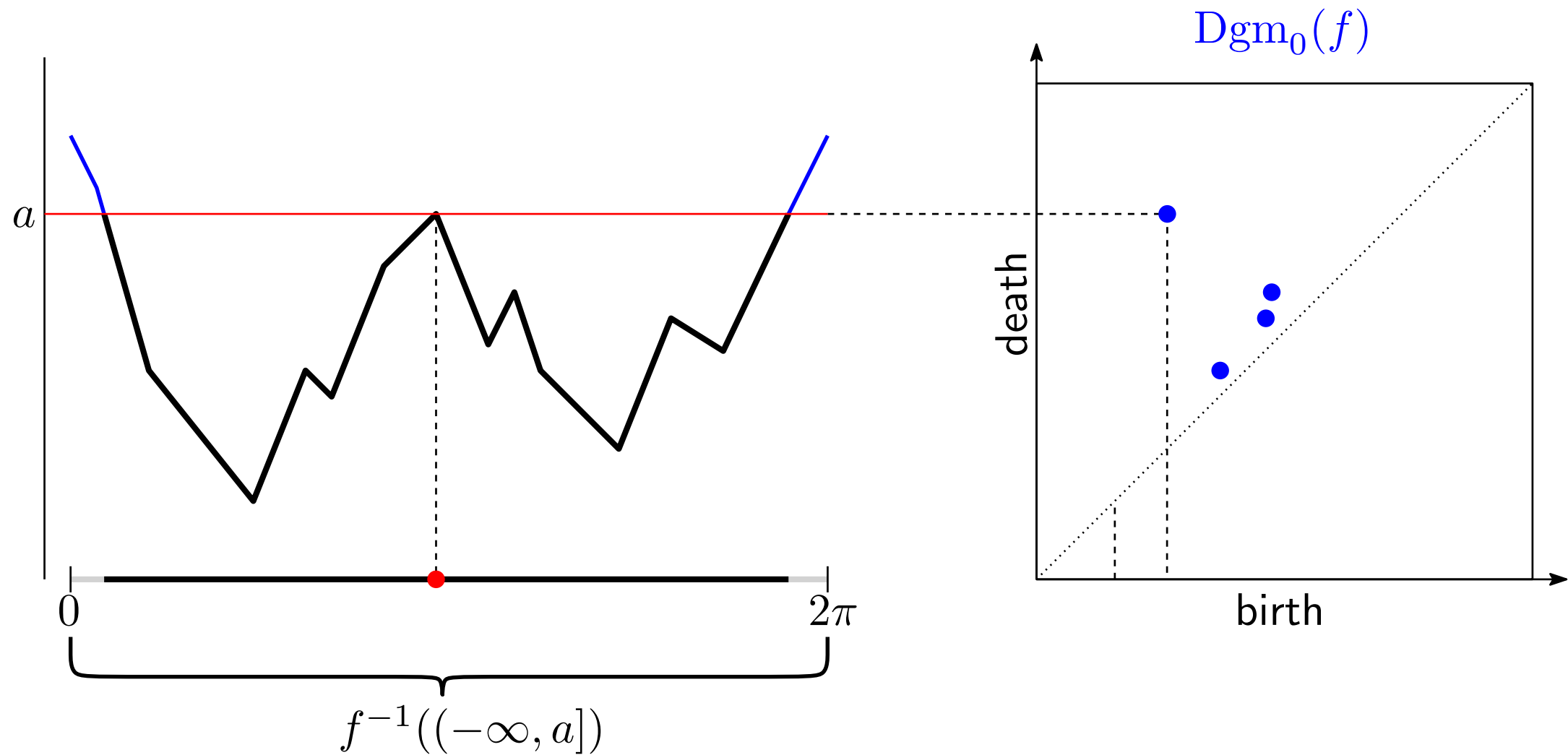
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Evolution of homology as birth-death pair.



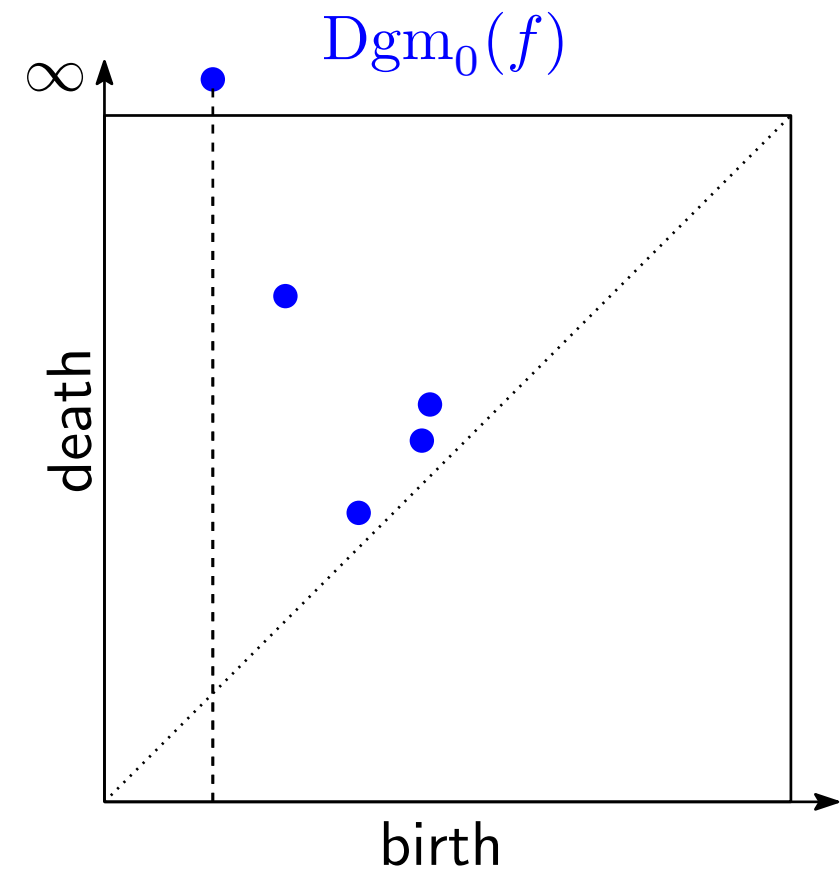
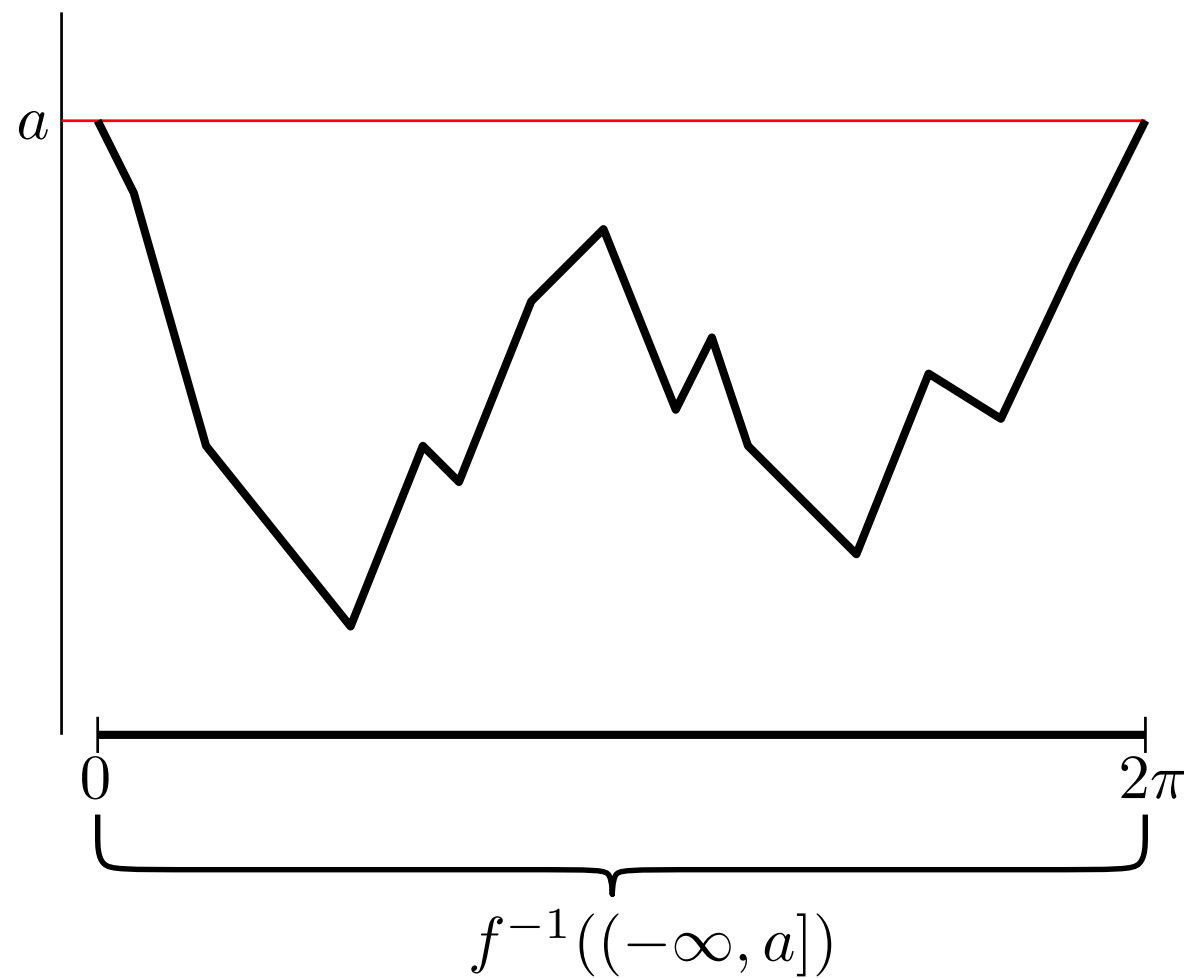
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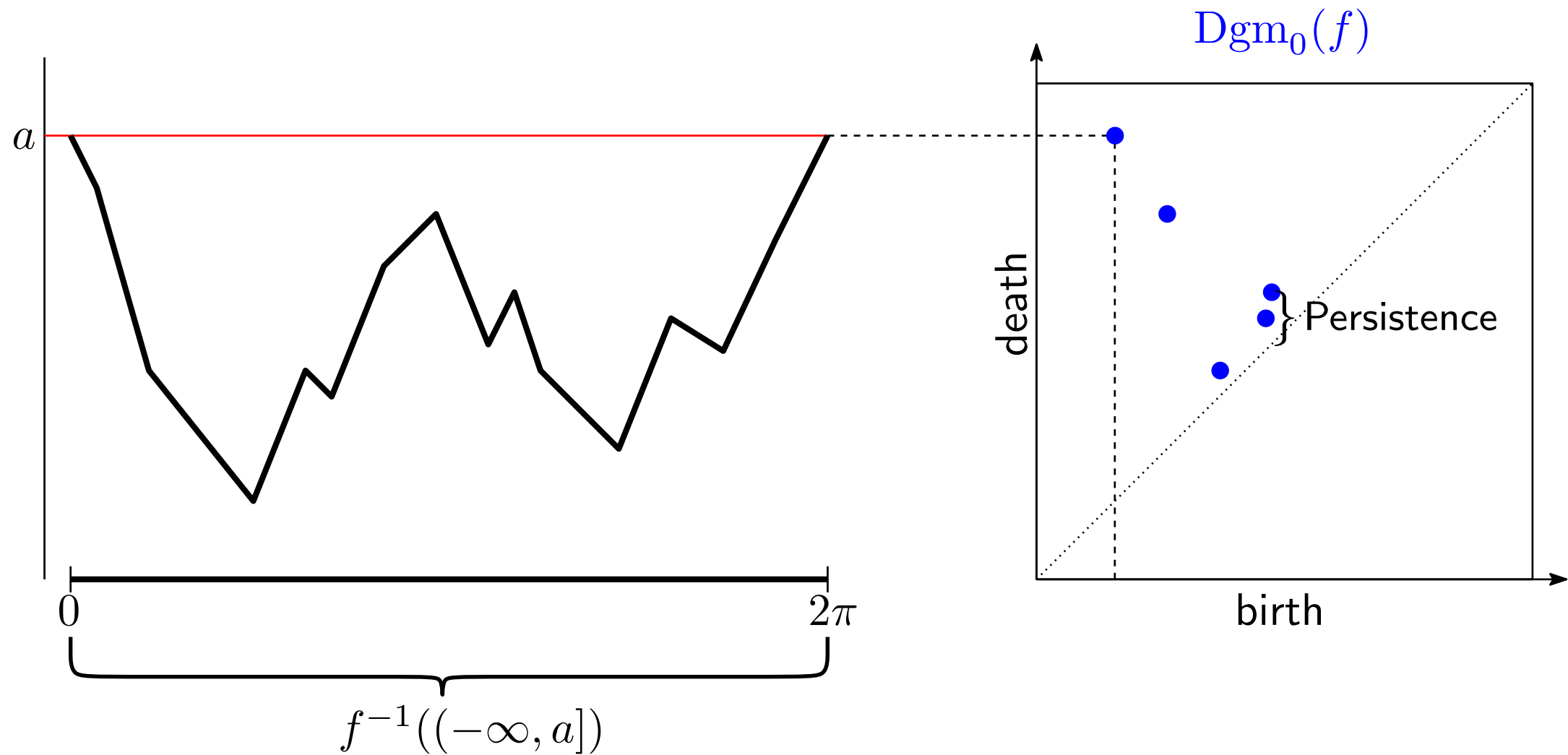
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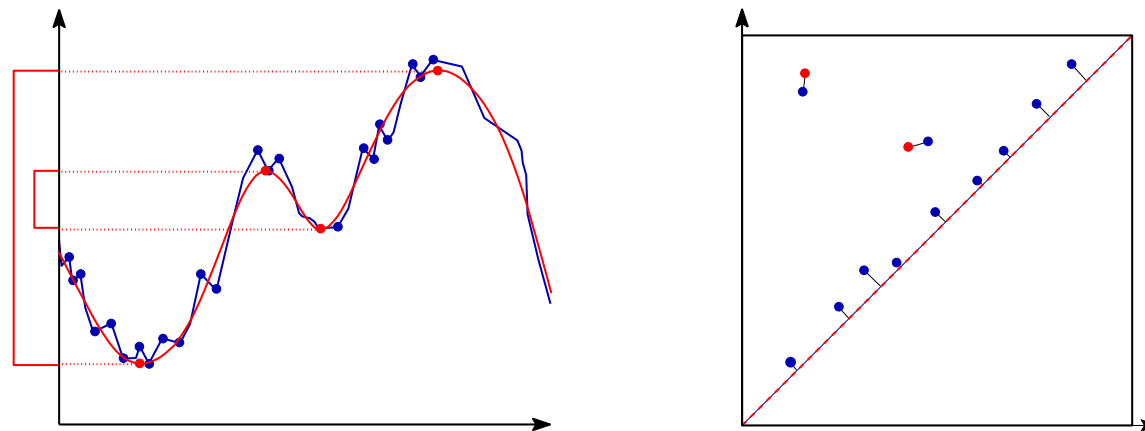


# Persistent homology: Morse theory

Evolution of homology as birth-death pair.



# Metrics on diagrams



$L^2$ -Wasserstein distance

$$d_{L^2}(X, Y)^2 = \inf_{\phi: X \rightarrow Y} \sum_{x \in X} \|x - \phi(x)\|^2,$$

$\phi$  is the set of bijections between the points in  $X$  plus copies of the diagonal and points in  $Y$  with copies of the diagonals.

# Euler characteristic transform (ECT)

$M$  is simplicial complex in  $\mathbb{R}^d$  and  $v \in S^{d-1}$  is a unit vector.  
 $\chi(M, v)$  captures changes in topology of

$$M(v)_r = \{\Delta \in M : x \cdot v \leq r \text{ for all } x \in \Delta\}.$$

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## Definition

*The Euler characteristic transform of  $M \in \mathbb{R}^d$  is the function*

$$\begin{aligned} \text{ECT}(M) : S^{d-1} &\rightarrow \mathbb{Z}^{\mathbb{R}} \\ v &\mapsto \chi(M, v). \end{aligned}$$

# Smooth Euler characteristic transform (SECT)

The smooth Euler curve for each direction is

$$f(y) = \chi(M, v), \quad F(x) = \int_0^x f(y) dy - \overline{\chi(M, v)}.$$

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$$\begin{aligned} \text{SECT}(M) : S^{d-1} &\rightarrow L_2(\mathbb{R}) \\ v &\mapsto F(M, v). \end{aligned}$$

# Distances

$\mathcal{M}_d$  is the space of finite simplicial complexes in  $\mathbb{R}^d$ .

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$$d_{\mathcal{M}_d}(M_1, M_2) := \sqrt{\int_{S^{d-1}} \|F(M_1, v) - F(M_2, v)\|^2 d\nu(v)}.$$



# Persistence homology transform (PHT)

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## Definition

*The persistent homology transform of  $M \in \mathbb{R}^d$  is the function*

$$\text{PHT}(M) : S^{d-1} \rightarrow \mathcal{D}^{d-1}$$

$$v \mapsto (X_0(M, v), X_1(M, v), \dots, X_{d-1}(M, v)).$$

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# Injectivity and sufficiency of the ECT

## Theorem (Turner-M-Boyer)

*The Euler characteristic transform is injective when the domain is  $\mathcal{M}_d$  for  $d = 2, 3$ .*

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## Corollary (Turner-M-Boyer)

*Consider the subspace of shapes  $\mathcal{M}_k^N$  (for  $k = 2$  or  $3$ ), piecewise linear simplicial complexes with at most  $N$  vertices. Let  $f(x; \theta)$  be a density function over  $\mathcal{M}_k$  with parameters  $\theta \in \Theta$  and  $x \in \mathcal{M}_k$  whose support is contained in some  $\mathcal{M}_k^N$ . The Euler characteristic transform  $t(X) \in C(S^2, \mathcal{D}^3)$  is a sufficient statistic.*

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# Sufficiency of the PHT

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- (2) For 3-D: Over 700 directions.

# A sampling theory for shapes

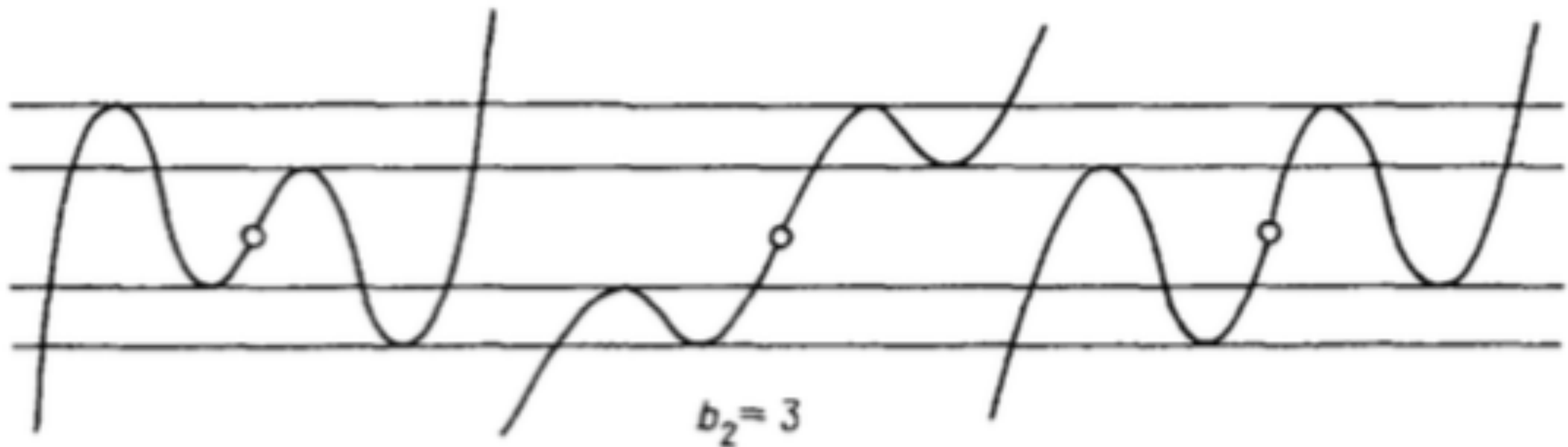
How many directions to sample ?

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A sampling theory for shapes — complexity metric for families shapes in terms of directions required.

# Calculus of snakes

V.I. Arnol'd, The calculus of snakes and the combinatorics of Bernoulli, Euler and Springer numbers of Coxeter groups.



# Exponential family and SECT

Denote the Euler characteristic curve for each direction:

$f(y) = \chi(M, v)$  Define the integral of  $f(y)$  as  $F(x) = \int_0^x f(y) dy$ .

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Exponential family model

$$p_{\theta}(x) = a(\theta) h(x) \exp\left(-\sum_{k=1}^K \langle \theta, F_k(x) \rangle\right).$$

# The matrix variate normal

Define  $\mathbf{F} = [F_1 F_2 \cdots F_K]$  as a  $K \times T$  matrix and

$$p(\mathbf{F} \mid \mathbf{A}, \mathbf{U}, \mathbf{V}) = \frac{\exp\left(-\frac{1}{2}\text{tr}[\mathbf{V}^{-1}(\mathbf{F} - \mathbf{A})^T \mathbf{U}^{-1}(\mathbf{F} - \mathbf{A})]\right)}{(2\pi)^{KT/2} |\mathbf{V}|^{L/2} |\mathbf{U}|^{K/2}},$$

$\mathbf{A}$  models mean

$\mathbf{U}$  models covariance between curves

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$\mathbf{V}$  models covariance between points in a curve.

The given  $n$  meshes  $(M_1, \dots, M_n)$  we can define a likelihood model

$$\text{Lik}(M_1, \dots, M_n \mid \mathbf{A}, \mathbf{U}, \mathbf{V}) = \prod_{i=1}^n p(\mathbf{F}(M_i) \mid \mathbf{A}, \mathbf{U}, \mathbf{V}).$$

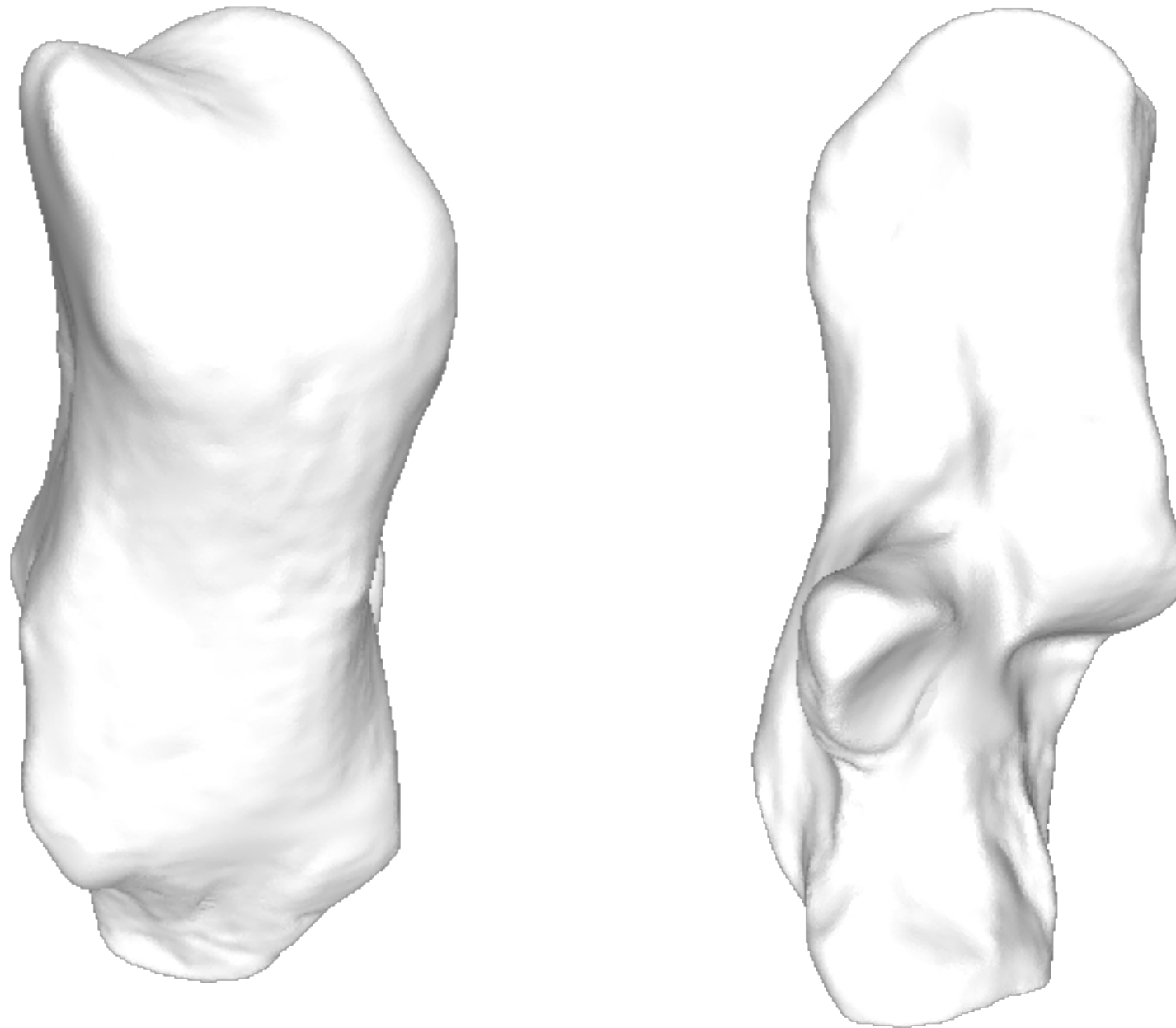
# Distances without alignment

## Theorem (Turner-M)

*Let  $f : S^2 \rightarrow \mathbb{Z}^{\mathbb{R}}$  and  $g : S^2 \rightarrow \mathbb{Z}^{\mathbb{R}}$  be the ECT for two finite simplicial complexes  $M_f$  and  $M_g$  respectively. Both  $f$  and  $g$  are generically injective. Let  $\mu$  be the measure on  $S^2$ . If  $f_*(\mu) = g_*(\mu)$ , the push forwards of the measure are equal, then there is some  $X \in O(3)$  such that  $M_g = X(M_f)$ .*

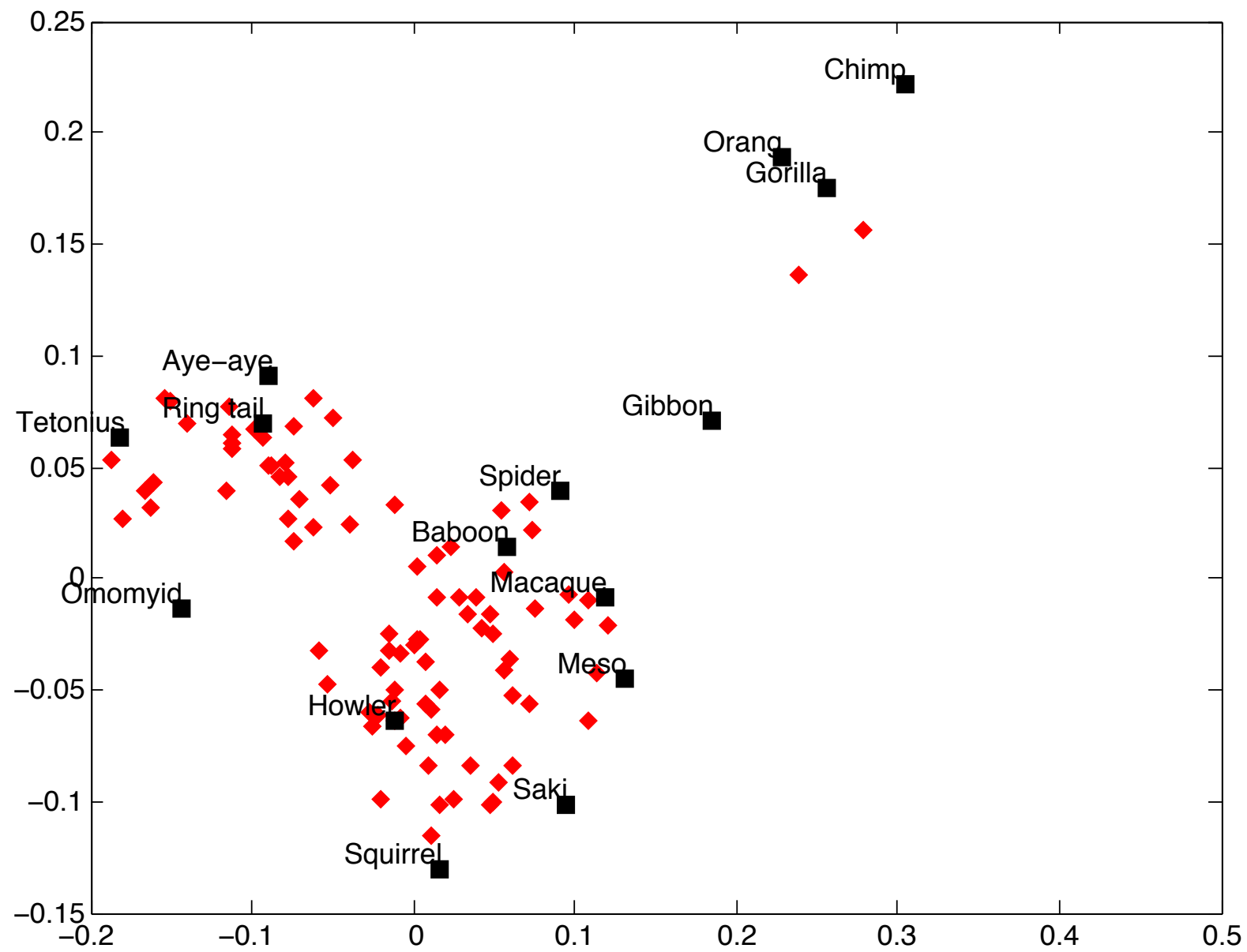
*The distributions of the Euler characteristic curves are sufficient statistics.*

# Picture of heel bone

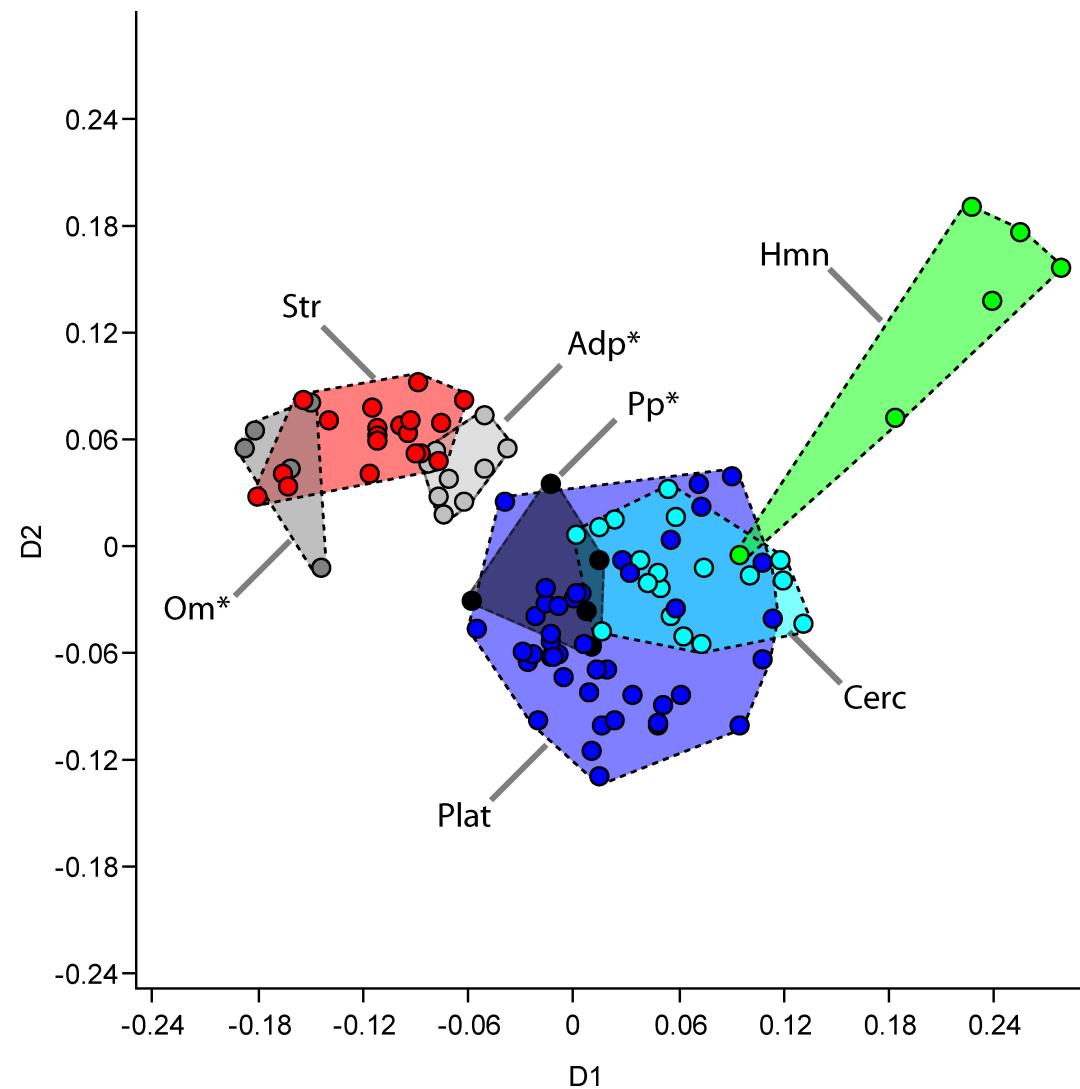


**Figure:** Images of a calcaneus from two different angles.

# 106 primates



# Primate calcanei



Phylogenetic groups of primate calcanei with 67 genera. Asterisks indicate groups of extinct taxa. Abbreviations: Str, Strepsirrhines; Plat, platyrrhines; Cerc, Cercopithecoids; Om, Omomyiforms; Adp, Adapiforms; Pp, parapithecids; Hmn, Hominoids.

## Comment from Doug

"In at least one way the method matched shapes with family groups better than any of the other previous methods... it linked a Hylobates specimen with the the other ape specimens (pan, gorilla, pongo, and oreopithecus). Previous both hylobatids (which ARE apes) always ended up closest to some Alouatta specimens."

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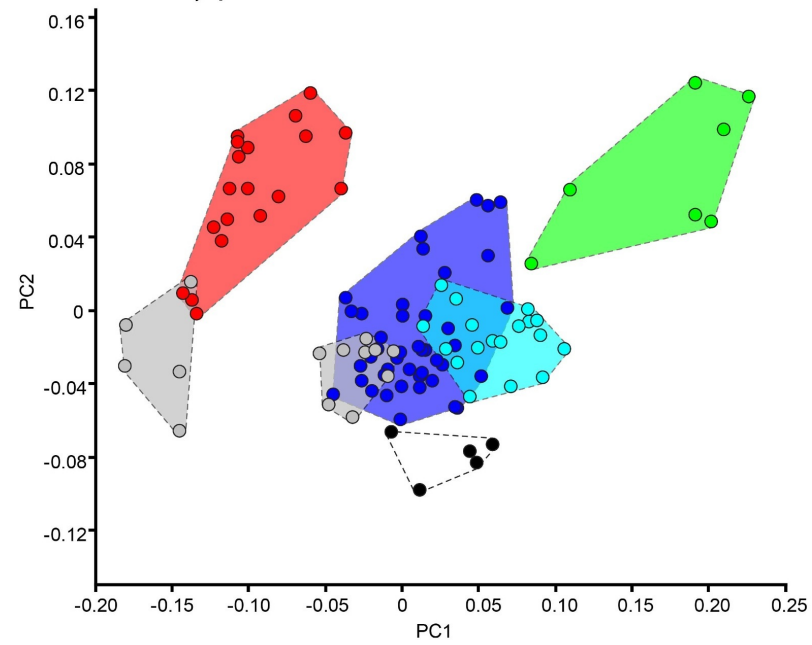
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hylobatids = Gibbons

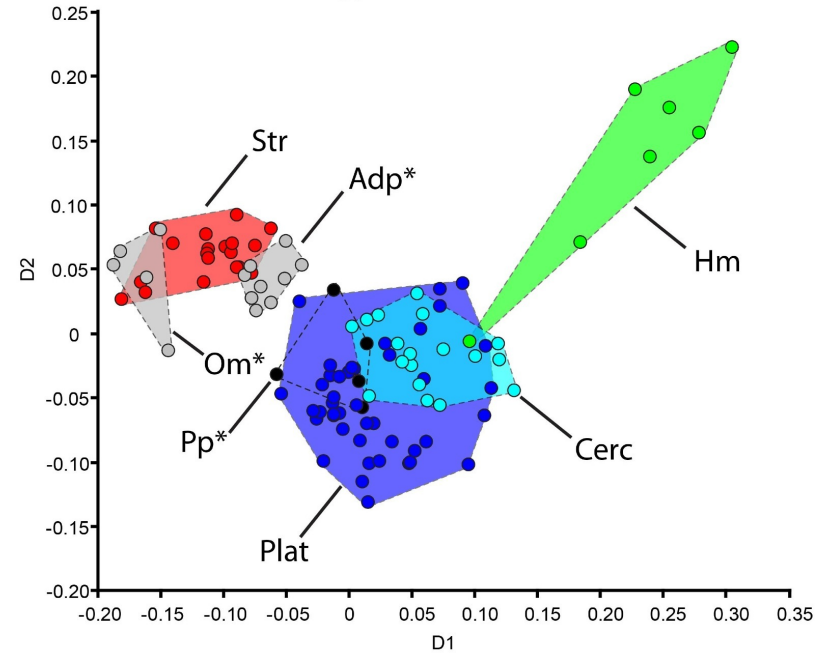
Alouatta = Howler monkey

# Comparing methods

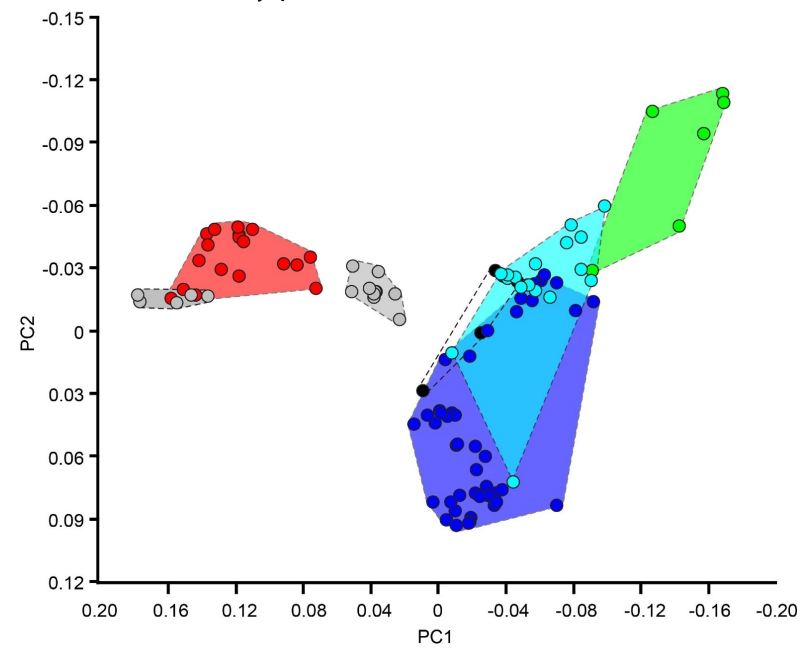
A. Manually placed landmark data



B. Persistent Homology

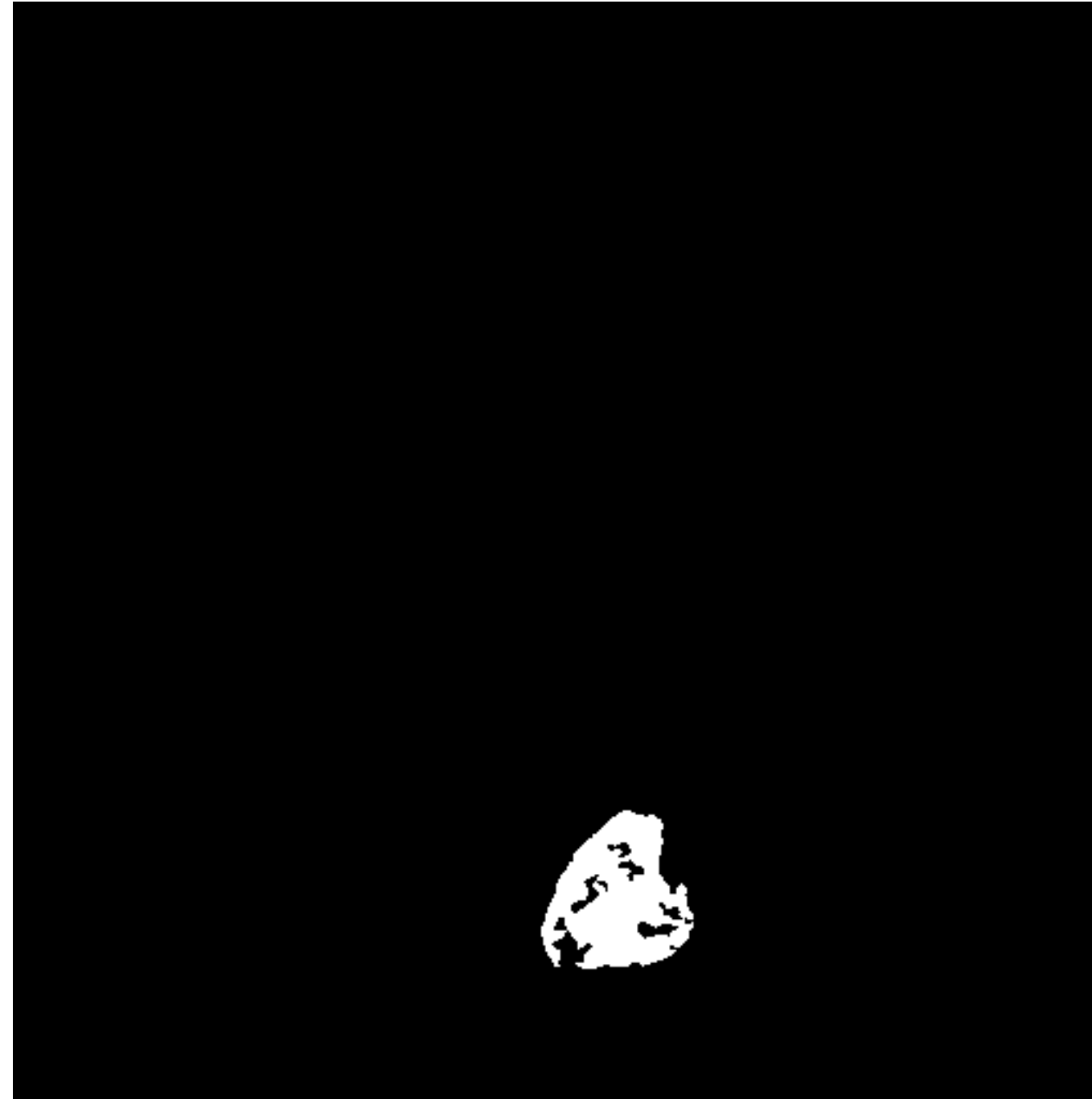
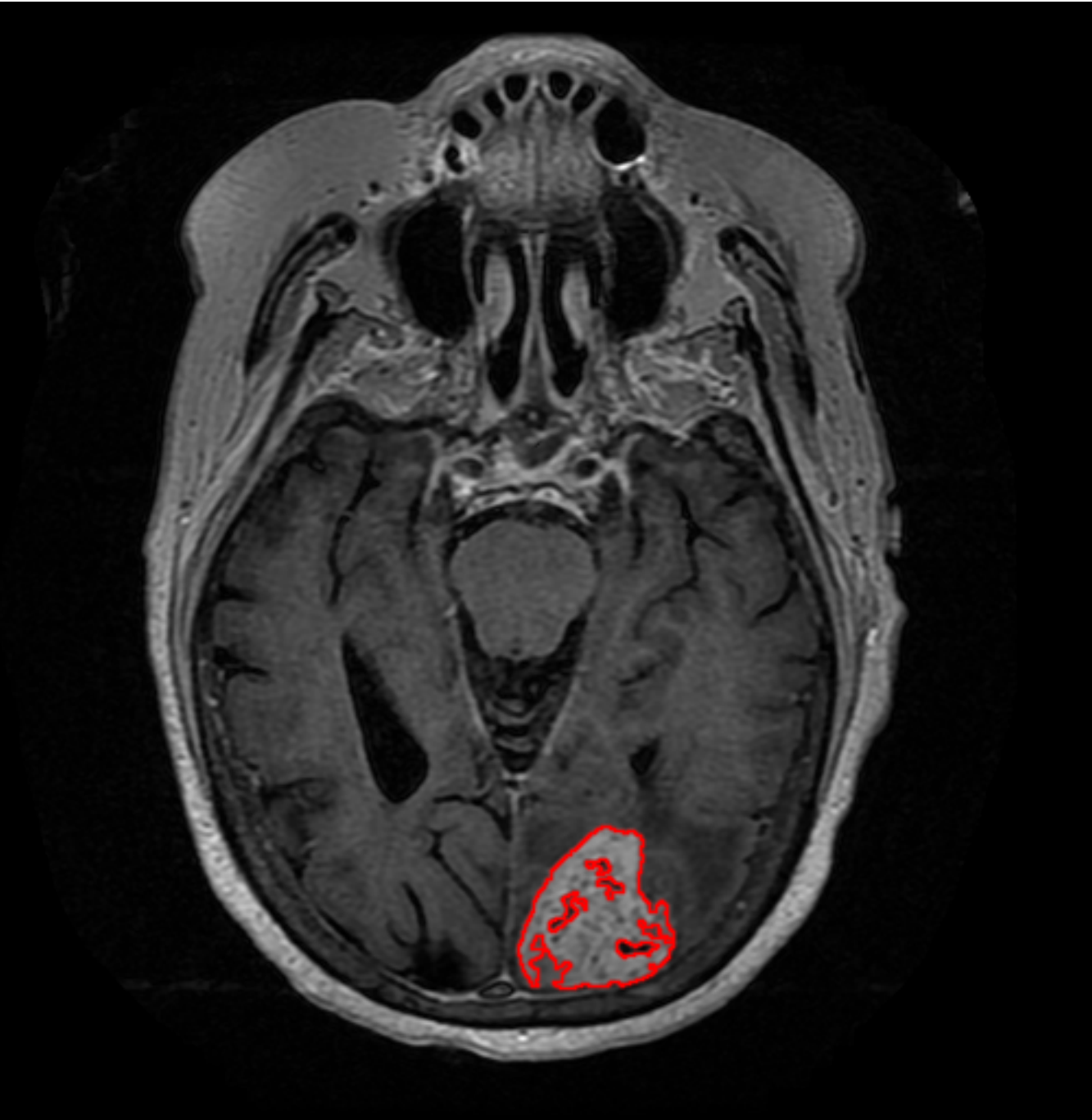


C. Automatically placed landmark data





# Glioblastoma and radiogenomics



# The data

92 patients with matched gene expression and MRI data from the TCGA.

Gene expression:  $p_g = 9215$

Morphometric features:  $p_m = 212$

Volumetric features:  $p_v = 5$

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Response:

Disease Free Survival (DFS): The period after a successful treatment during which there are no signs or symptoms of the cancer that was treated.

Overall Survival (OS): The entire period after the start of treatment during which the cancer patient is still alive.

# The model

Consider the following kernel/GP model for the SECT

$$f(\mathbf{F}) = \sum_{i=1}^n \alpha_i k(\mathbf{F}, \mathbf{F}_i), \quad k(\mathbf{F}_i, \mathbf{F}_j) = \exp(-\kappa \|\mathbf{F}_i - \mathbf{F}_j\|^2).$$

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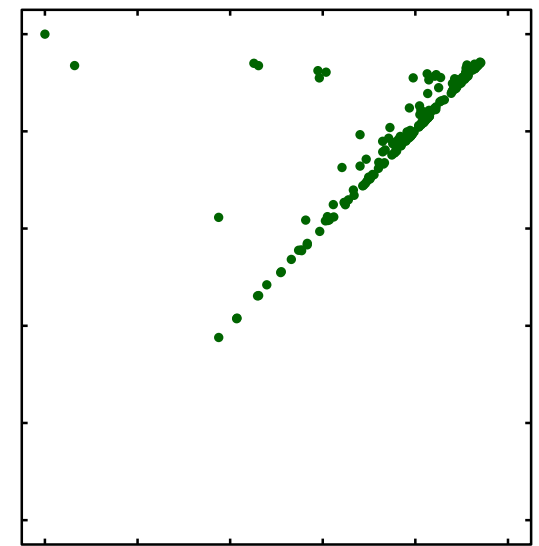
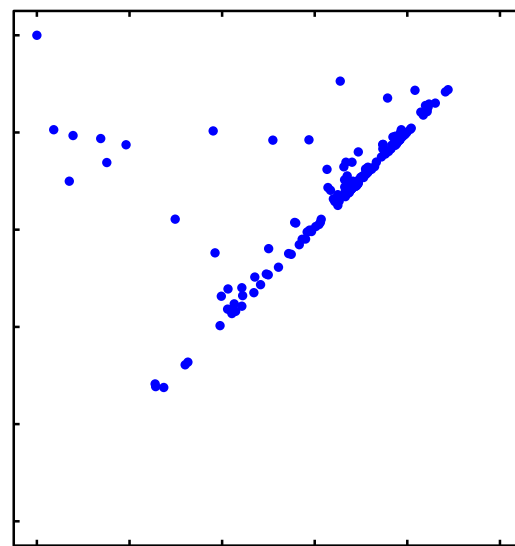
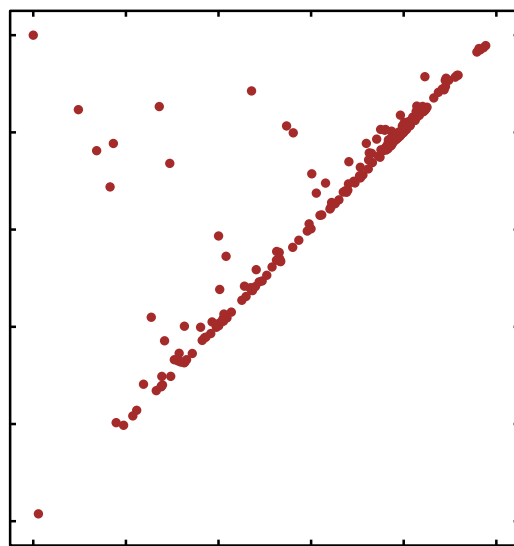
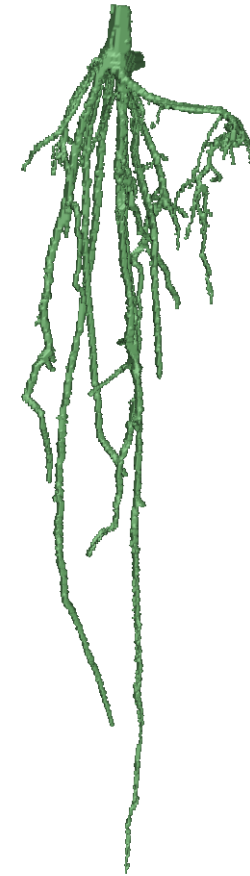
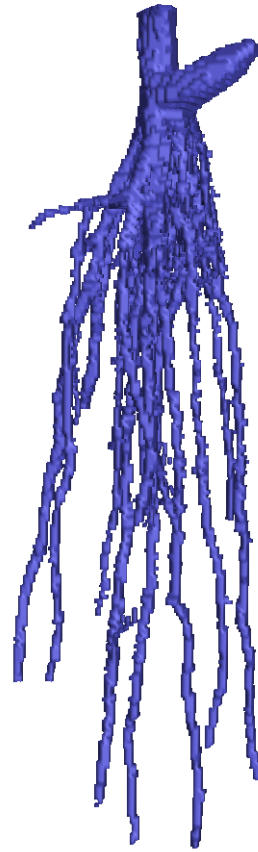
Can use standard functional data analysis. The same kernel model in part I of the talk for regression.

# Results

	Disease Free Survival		Overall Survival	
Data Type	RMSEP	Optimal%	RMSEP	Optimal%
Gene Expression	0.944 (0.035)	0.20	0.981 (0.030)	0.27
Morphometrics	0.942 (0.035)	0.07	0.965 (0.029)	0.15
Volume	0.939 (0.035)	0.04	0.964 (0.029)	0.16
SECT	<b>0.803 (0.035)</b>	<b>0.69</b>	<b>0.958 (0.028)</b>	<b>0.42</b>

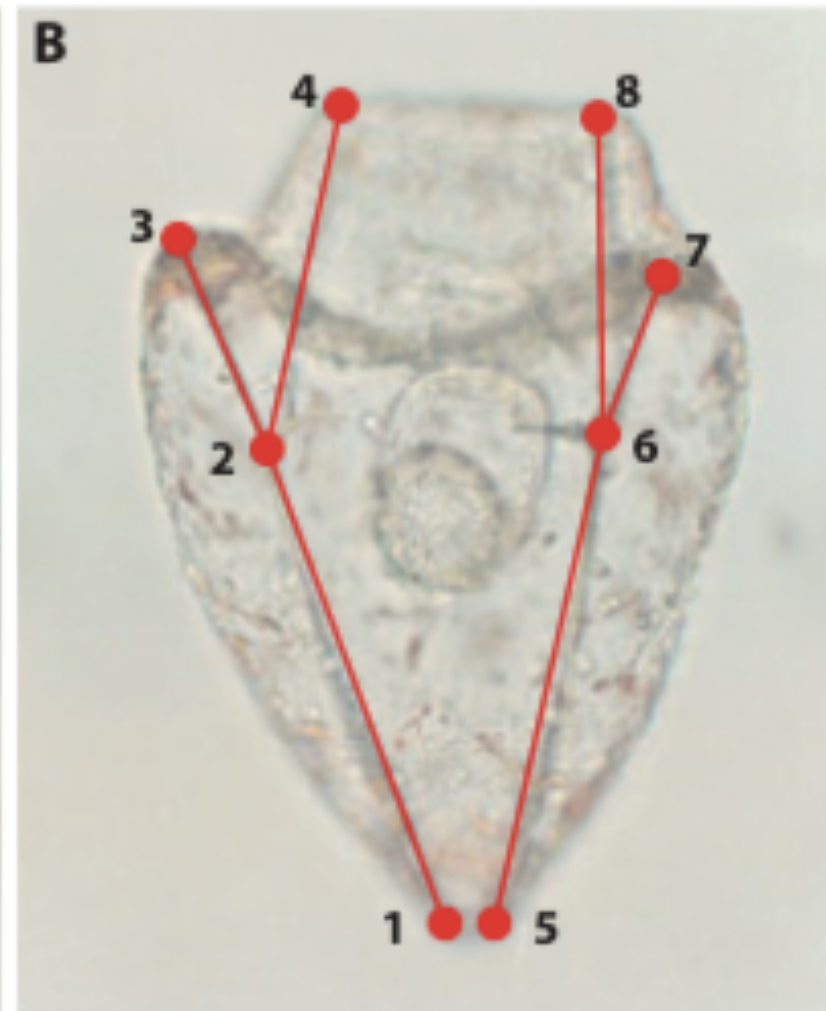
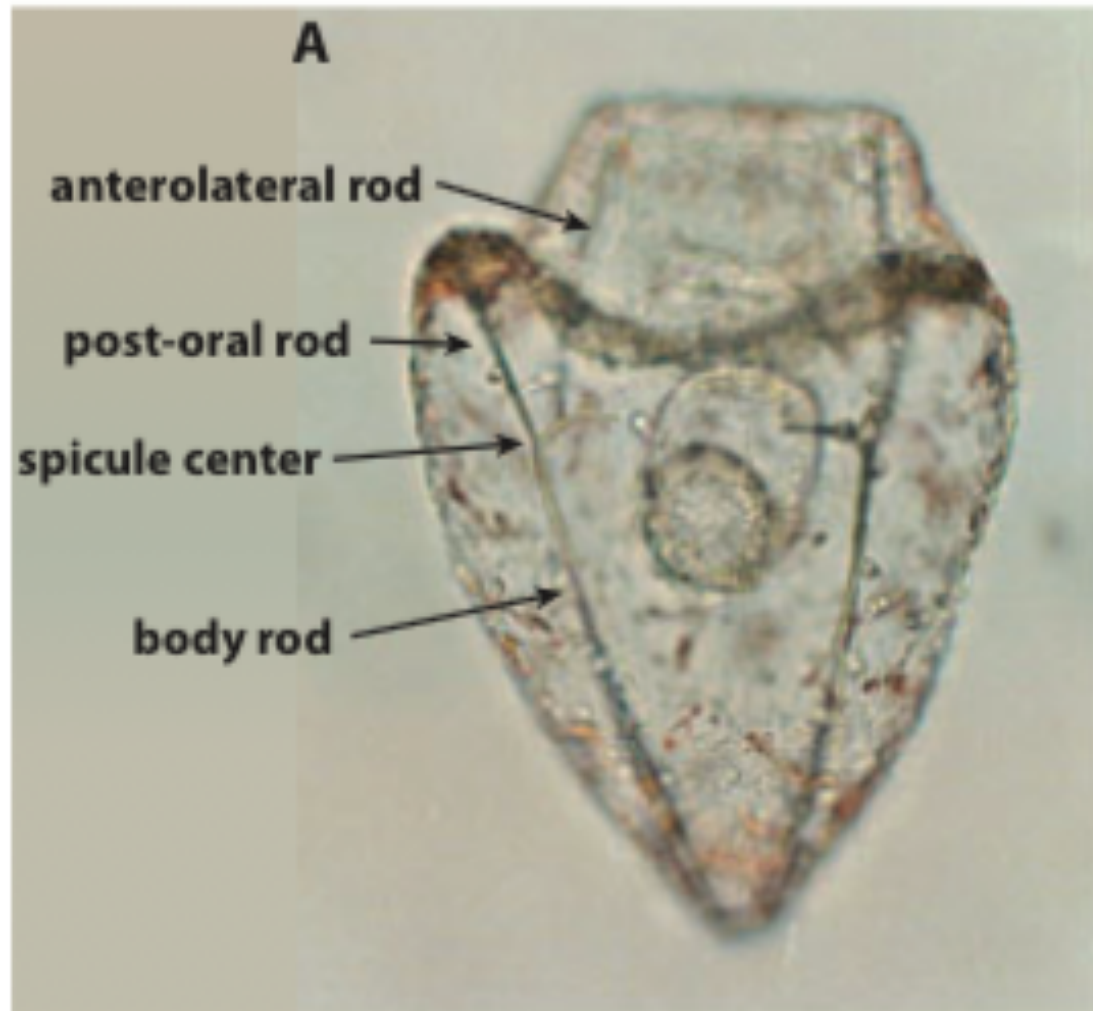
Table 1: **Results for predicting disease free survival (DFS) and overall survival (OS) using the Gaussian kernel function.** The first and third panels show comparisons of root mean squared errors of prediction (RMSEP) for the four considered data types. The second and fourth panels detail the percentage of the time that a model exhibits the lowest RMSEP. This is denoted as Optimal%. All values in bold represent the method with the lowest RMSEP or the method that most frequently performs best, respectively. These values are based on 100 random different 80-20 splits for each clinical outcome. Standard errors for each model are given the parentheses.

# Association studies of shape phenotypes





# Quantitative genetics



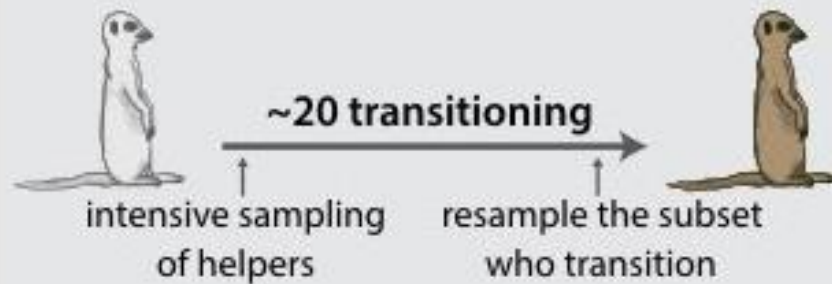
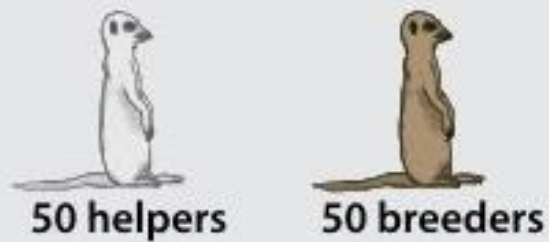
# Evolution of cooperation in mammals





# Evolution of cooperation in mammals

## STUDY POPULATION



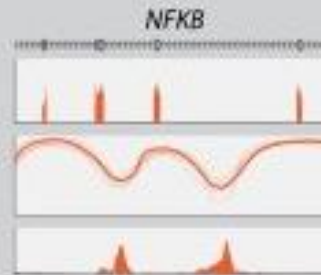
## DATA COLLECTION

### AIM 1

gene expression

DNA methylation

chromatin accessibility



PBMCs

steroid hormone control (vehicle)

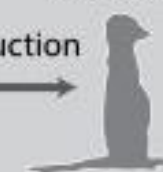
RNA-seq

### AIM 2 A

X-ray images



3D model



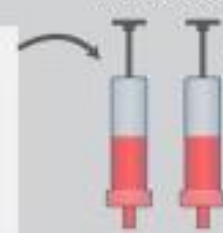
### AIM 2 B

- anti-CD3
- Heat killed Mycobacterium bovis
- Gardiquimod
- LPS

Truculture

vehicle

RNA-seq



### AIM 3

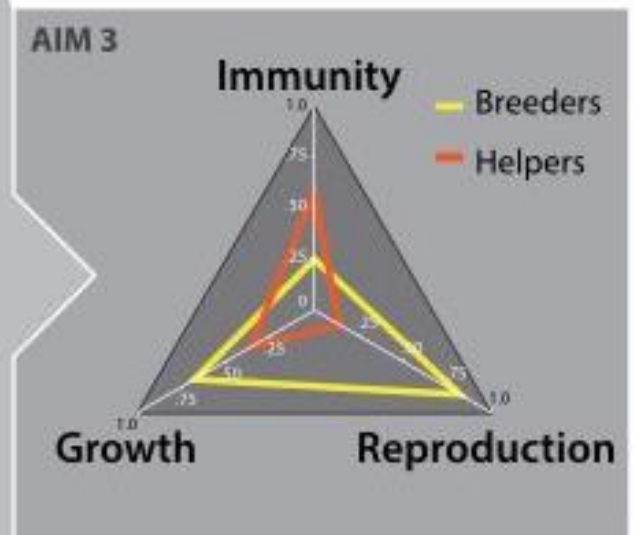
Immunity

Breeders

Helpers

Growth

Reproduction



# Evolution of cooperation in mammals

A



B



C



A



B



C



# Open questions and problems

- (1) Localized transforms: The PHT and ECT can be generalized as Euler integration

$$\int_x h d\chi, \quad h \text{ is a (localized) basis function.}$$

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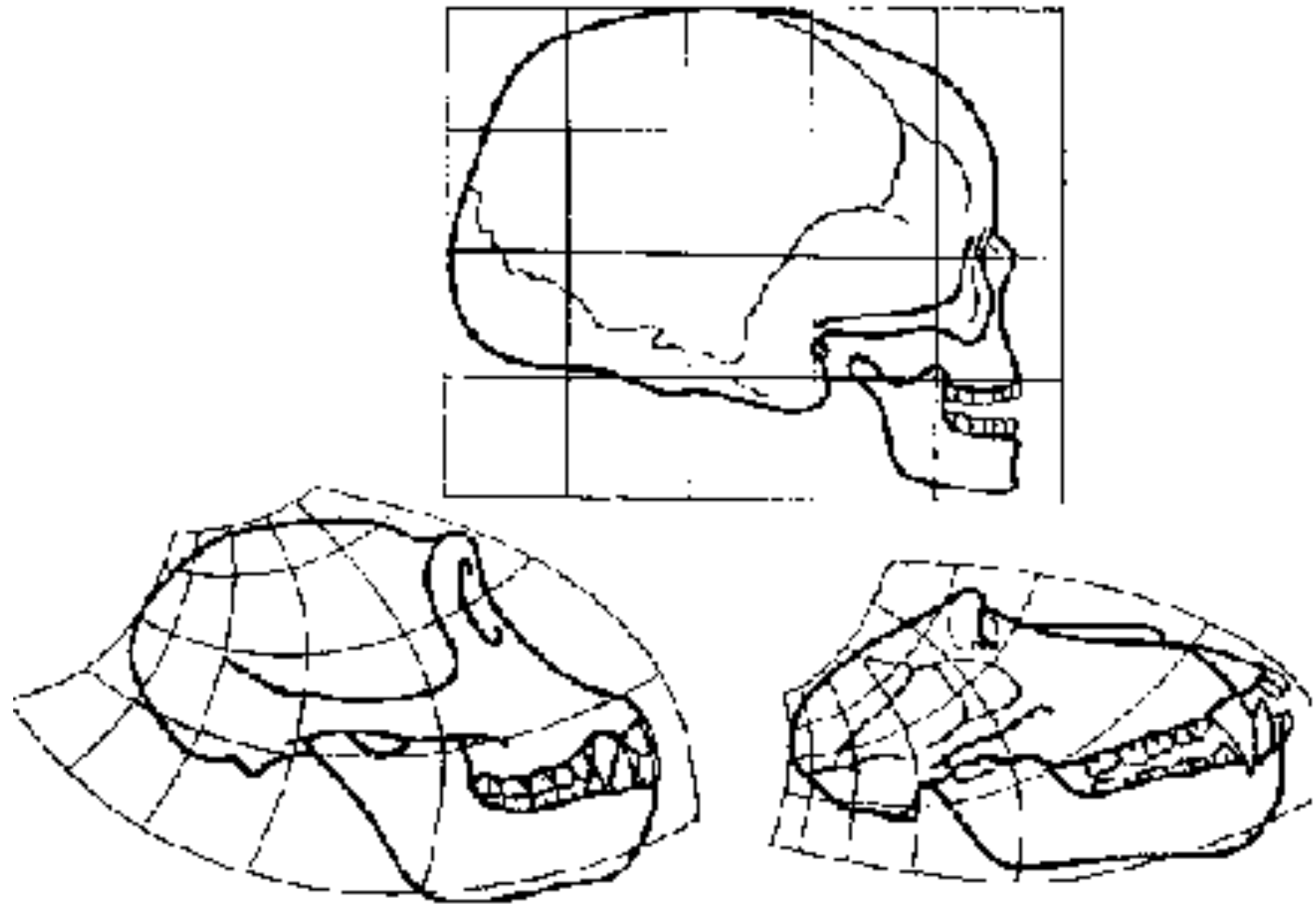
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- (5) Extending the diffeomorphism based approach to address cases where only subsets of the objects are diffeomorphic, learning transformations from data.
- (6) Generalization to graphs and networks.

# Modeling variation in shapes



Skulls of a human, a chimpanzee and a baboon  
and transformations between them

D'Arcy Thompson, On Growth and Form

A word cloud featuring the words 'Thank' and 'You' in large, bold, black letters. Surrounding these central words are numerous translations of 'Thank You' in various languages, including English (e.g., 'Thanks', 'Cheers', 'Ta', 'Tack'), Hindi ('धन्यवाद'), Chinese ('谢谢'), Japanese ('ありがとう'), and many others. The words are arranged in a circular pattern, with some appearing in different orientations (rotated 90 or 180 degrees). The background is white, and the overall design is clean and modern.

# Acknowledgements

Part I. Dan Runcie, Julian Ayroles, Jenny Tung, Beth Hauser

Part II. Ingrid Debauchies, Yuliy Barishnikov, Jenny Tung,  
Washington Mio, Mao Li

## Funding:

- ▶ NSF DMS, CCF, IIS
- ▶ DARPA
- ▶ AFOSR
- ▶ NIH